

NORBEN TEA & EXPORTS LIMITED

SHAREHOLDING PATTERN FOR THE QUARTER ENDED 30TH SEPTEMBER, 2013

	Partly paid-up shares :-	Number of partly paid-up shares	As a % of total number of partly paid-up shares		As a % of total number of partly paid-up shares of the Company		
	Held by promoter/promoter group	NIL					
	Held by public	NIL					
	Total - D	NIL					
	Outstanding Convertible Securities:-	Number of outstanding securities	As a % of total number of outstanding convertible securities		As a % of total number of shares of the Company, assuming full conversion of the convertible securities		
	Held by promoter/promoter group	NIL					
	Held by public	NIL					
	Total - E	NIL					
	Warrants :-	Number of warrants	As a % of total number of warrants		As a % of total number of shares of the Company, assuming full conversion of warrants		
	Held by promoter/promoter group	NIL					
	Held by public	NIL					
	Total - F	NIL					
	Total paid-up capital of the Company assuming full conversion of warrants and convertible securities	11750000					

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals/ Hindu Undivided Family	8	1802270	1802270	15.338	15.338	0.000	0.000
(b)	Central Government/ State Government(s)							
(c)	Bodies Corporate	2	3466600	3466600	29.503	29.503	0.000	0.000
(d)	Financial Institutions/ Banks							
(e)	Any Other (specify)							
	Sub-Total(A)(1)	10	5268870	5268870	44.841	44.841	0.000	0.000
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Qualified Foreign Investors							
(e)	Any Other (specify)							
	Sub-Total(A)(2)	0	0	0	0.000	0.000	0.000	0.000
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	10	5268870	5268870	44.841	44.841	0.000	0.000

(B)	Public Shareholding						N.A.	N.A.
(1)	Institutions							
(a)	Mutual Fund/UTI	3	14400	0	0.123	0.123	0.000	0.000
(b)	Financial Institutions/Banks	0	0	0	0.000	0.000	0.000	0.000
(c)	Central Government/State Government(s)							
(d)	Venture Capital Funds							
(e)	Insurance Companies							
(f)	Foreign Institutional Investors							
(g)	Foreign Venture Capital Investors							
(h)	Qualified Foreign Investors							
(i)	Any Other (specify)							
	Sub-Total (B)(1)	3	14400	0	0.123	0.123	0.000	0.000
(2)	Non-institutions						N.A.	N.A.
(a)	Bodies Corporate	136	763541	713841	6.498	6.498	0.000	0.000
(b)	Individuals -							
	i. Individual shareholders holding nominal share capital upto Rs.1 lakh.	26305	5365656	2682150	45.665	45.665	0.000	0.000
	ii. Individual shareholders holding nominal share capital in excess of Rs.1 lakh.	8	285471	273971	2.430	2.430	0.000	0.000
(c)	Qualified Foreign Investors							
(d)	Any Other(specify)							
(d-i)	NRI/OCBs	19	38263	38263	0.326	0.326	0.000	0.000
(d-ii)	Clearing Member	19	13799	13799	0.117	0.117	0.000	0.000
	Sub-Total (B)(2)	26487	6466730	3722024	55.036	55.036	0.000	0.000
	Total Public Shareholding (B)=(B)(1)+(B)(2)	26490	6481130	3722024	55.159	55.159	0.000	0.000
	TOTAL (A)+(B)	26500	11750000	8990894	100.000	100.000	0.000	0.000
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
(1)	Promoter and Promoter group	0	0	0	0.000	0.000	0.000	0.000
(2)	Public	0	0	0	0.000	0.000	0.000	0.000
	Sub-Total (C)	0	0	0	0.000	0.000	0.000	0.000
	GRAND TOTAL (A)+(B)+(C)	26500	11750000	8990894	100.000	100.000	0.000	0.000