

## Format of holding of specific securities

Annexure - I

Name of the Listed Entity : NORBEN TEA &amp; EXPORTS LIMITED

Scrip Code : 519528

Name of the scrip : NORBENTEAXP

Class of Security : EQUITY

Share Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 30.09.2024

Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares ?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants ?		No
3	Whether the Listed Entity has any shares against which Depository receipts are issued ?		No
4	Whether the Listed Entity has any shares in locked-in ?		No
5	Whether any shares held by Promoters are pledged or otherwise encumbered ?		No
6	Whether the listed entity has any significant beneficial owner	Yes	



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)		(xiv)
								No. of Voting Rights					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class eg : X	Class eg : Y	Total								Total as a % of (A+B+C)
(A)	Promoter & Promoter Group	9	6044759	0	0	6044759	51.44	6044759	0	6044759	51.44	0	51.44	0	0.00	0	0.00	6044759
(B)	Public	25949	5705241	0	0	5705241	48.56	5705241	0	5705241	48.56	0	48.56	0	0.00	0	0.00	3307235
(C)	Non Promoter Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Total</b>	<b>25958</b>	<b>11750000</b>	<b>0</b>	<b>0</b>	<b>11750000</b>	<b>100.00</b>	<b>11750000</b>	<b>0</b>	<b>11750000</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>9351994</b>



Table II: Statement showing shareholding pattern of the Promoter and Promoter Group

(i) Category & Name of the shareholders	(ii) Entity type i.e. Promoter OR promoter group entity (except promoter)	(iii) No. of shareholder	(iv) No. of fully paid up equity shares held	(v) Partly paid-up equity shares held	(vi) Nos. of shares underlying Depository Receipts	(viii) = (v)+(vi)+(vii) Total nos. shares held	(ix) As a % of (A+B+C2) Shareholding % calculated as per SCRR, 1957	(x) Number of Voting Rights held in each class of securities			(xi) No. of Shares Outstanding convertible securities (Including Warrants)	(xii) = (viii)+(xi) Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	(xiii) Number of Locked in shares		(xiv) Number of Shares pledged or otherwise encumbered		(xv) Number of equity shares held in dematerialised form	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
<b>1 Indian</b>																		
(a) Individuals/ Hindu Undivided Family		6	2489562			2489562	21.19	2489562	0	2489562	21.19	0	21.19	0	0.00	0	0.00	2489562
MANOJ KUMAR DAGA	Promoter	1	120501	0	0	120501	1.03	120501	0	120501	1.03	0	1.03	0	0.00	0	0.00	120501
SHANTI DEVI DAGA	Promoter Group	1	670056	0	0	670056	5.70	670056	0	670056	5.70	0	5.70	0	0.00	0	0.00	670056
MANOJ KUMAR DAGA/HUF	Promoter Group	1	45946	0	0	45946	0.39	45946	0	45946	0.39	0	0.39	0	0.00	0	0.00	45946
DIVISHA DAGA	Promoter Group	1	587343	0	0	587343	5.00	587343	0	587343	5.00	0	5.00	0	0.00	0	0.00	587343
JYOTI DEVI DAGA	Promoter Group	1	577300	0	0	577300	4.91	577300	0	577300	4.91	0	4.91	0	0.00	0	0.00	577300
PALLAVI DAGA	Promoter Group	1	488416	0	0	488416	4.16	488416	0	488416	4.16	0	4.16	0	0.00	0	0.00	488416
(b) Central Government/ State Government/s																		
(c) Financial Institutions/ Banks																		
(d) Any Other (Specify)																		
<b>(2-1) Bodies Corporate</b>																		
MANGALAM PRODUCTS PVT. LTD.	Promoter Group	1	1205950	0	0	1205950	10.26	1205950	0	1205950	10.26	0	10.26	0	0.00	0	0.00	1205950
TONGANI TEA COMPANY LTD.	Promoter Group	1	2260650	0	0	2260650	19.24	2260650	0	2260650	19.24	0	19.24	0	0.00	0	0.00	2260650
Aqum Investments Pvt. Ltd.	Promoter Group	1	88597	0	0	88597	0.75	88597	0	88597	0.75	0	0.75	0	0.00	0	0.00	88597
<b>Sub Total(A)(1)</b>		<b>9</b>	<b>6044759</b>	<b>0</b>	<b>0</b>	<b>6044759</b>	<b>51.44</b>	<b>6044759</b>	<b>0</b>	<b>6044759</b>	<b>51.44</b>	<b>0</b>	<b>51.44</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>6044759</b>
<b>2 Foreign</b>																		
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)																		
(b) Government																		
(c) Institutions																		
(d) Foreign Portfolio Investor																		
(e) Any Others(Specify)																		
<b>Sub Total(A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>		<b>9</b>	<b>6044759</b>	<b>0</b>	<b>0</b>	<b>6044759</b>	<b>51.44</b>	<b>6044759</b>	<b>0</b>	<b>6044759</b>	<b>51.44</b>	<b>0</b>	<b>51.44</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>6044759</b>

Note :  
 (1) PAN would not be displayed on website of Stock Exchange(s)  
 (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011













Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public's shareholder

Category & Name of the shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form (not applicable)	
								(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)				(ix)	(x)			(xi) = (vii)+(x) As a % of (A+B+C2)
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	No. of Voting Rights					No.	As a % of total Shares held	No.(not applicable)	As a % of total Shares held (Not applicable)	
								Class X	Class Y	Total							
<b>1</b> Custodian/ DR Holder																	
(a) Name of DR Holder (if available)																	
(i)																	
(ii)																	
<b>2</b> Employee Benefit Trust Employee Welfare Trust under SEBI (share based Employee Benefits and Sweat Equity) Regulations, 2021																	
(a) Name																	
<b>Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)</b>			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
Note :																	
(1) PAN would not be displayed on website of Stock Exchange(s)																	
(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.																	
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available																	





Annexure

Table V - Statement showing details of significant beneficial owners (SBOs)

Sr. No.	Details of the SBO		Details of the registered owner		Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest#	
	(I)		(II)		(III)						(IV)
	Name	Nationality	Name	Nationality	Whether by virtue of						
				Shares %	Voting rights %	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence			
1	DIVISHA DAGA	INDIAN	MANGALAM PRODUCTS PVT.LTD.	INDIAN	2.51	0	0	NO	NO	21/12/2006	
			TONGANI TEA COMPANY LTD.		0.47	0				31/10/2009	
2	JYOTI DEVI DAGA	INDIAN	MANGALAM PRODUCTS PVT.LTD.	INDIAN	0.61	0	0	NO	NO	31/07/2002	
			TONGANI TEA COMPANY LTD.		4.38	0				29/04/2000	
3	SHANTI DEVI DAGA	INDIAN	MANGALAM PRODUCTS PVT.LTD.	INDIAN	2.35	0	0	NO	NO	31/07/2002	
			TONGANI TEA COMPANY LTD.		4.43	0				29/04/2000	
4	MANOJ KUMAR DAGA	INDIAN	MANGALAM PRODUCTS PVT.LTD.	INDIAN	1.55	0	0	NO	NO	31/07/2002	
			TONGANI TEA COMPANY LTD.		4.60	0				29/04/2000	
			MANOJ KUMAR DAGA (HUF)		0.39	0				29/04/2000	
5	PALLAVI DAGA	INDIAN	MANGALAM PRODUCTS PVT.LTD.	INDIAN	2.53	0	0	NO	NO	01/08/2011	
			TONGANI TEA COMPANY LTD.		0.47	0				31/10/2009	

\* In case the nature of the holding / exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted

# This column shall have the details as specified by the listed entity under Form No.BEN-2 as submitted to the Registrar.



**Table VI - Statement showing foreign ownership limits**

	<i>Board approved limits(%)</i>	<i>Limits utilized (%)</i>
As on shareholding date	10	0.25
As on the end of previous 1st quarter	10	0.26
As on the end of previous 2nd quarter	10	0.25
As on the end of previous 3rd quarter	10	0.25
As on the end of previous 4th quarter	10	0.24

Scp'24

Jun'23

Sep'23

Dec'23

Mar'24

