

Format of holding of specific securities**Annexure - I****Name of the Listed Entity : NORBEN TEA & EXPORTS LIMITED****CIN : L01132WB1990PLC048991****Share Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 31.01.2017****Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-**

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares ?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants ?		No
3	Whether the Listed Entity has any shares against which Depository receipts are issued ?		No
4	Whether the Listed Entity has any shares in locked-in ?		No
5	Whether any shares held by Promoters are pledged or otherwise encumbered ?		No

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
								No. of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class eg : X	Class eg : Y	Total								
(A)	Promoter & Promoter Group	9	5702457	0	0	5702457	48.53	5702457	0	5702457	48.53	0	48.53	0	0.00	0	0.00	5702457
(B)	Public	25628	6047543	0	0	6047543	51.47	6047543	0	6047543	51.47	0	51.47	0	0.00	0	0.00	3412037
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	25637	11750000	0	0	11750000	100.00	11750000	0	11750000	100.00	0	100.00	0	0.00	0	0.00	9114494

Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid- up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form			
									(ix)					(x)	(xi) = (vii)+(x) as a % of (A+B+C2)	(xii)			(xiii)		(xiv)
									No. of Voting Rights	Total as a % of Total Voting rights						No. (a)	As a % of total Shares held (b)		No. (a)	As a % of total Shares held (b)	
									Class X	Class Y	Total										
1	Indian																				
(a)	Individuals/ Hindu Undivided Family		6	2147260			2147260	18.27	2147260	0	2147260	18.27	0	18.27	0	0.00	0	0.00	2147260		
	MANOJ KUMAR DAGA	ADLPD9792L	1	120501	0	0	120501	1.03	120501	0	120501	1.03	0	1.03	0	0.00	0	0.00	120501		
	SHANTI DEVI DAGA	ADSPD0801H	1	497754	0	0	497754	4.24	497754	0	497754	4.24	0	4.24	0	0.00	0	0.00	497754		
	MANOJ KUMAR DAGA	AACHM7084G	1	45946	0	0	45946	0.39	45946	0	45946	0.39	0	0.39	0	0.00	0	0.00	45946		
	APARNA DAGA	AJLPD4144L	1	587343	0	0	587343	5.00	587343	0	587343	5.00	0	5.00	0	0.00	0	0.00	587343		
	JYOTI DEVI DAGA	ADGPD0232J	1	437300	0	0	437300	3.72	437300	0	437300	3.72	0	3.72	0	0.00	0	0.00	437300		
	Pallavi Daga	ASKPD3329D	1	458416	0	0	458416	3.90	458416	0	458416	3.90	0	3.90	0	0.00	0	0.00	458416		
(b)	Central Government/ State Government(s)																				
(c)	Financial Institutions/ Banks																				
(d)	Any Other (Specify)																				
(d-i)	Bodies Corporate		3	3555197	0	0	3555197	30.26	3555197	0	3555197	30.26	0	30.26	0	0.00	0	0.00	3555197		
	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	1	1205950	0	0	1205950	10.26	1205950	0	1205950	10.26	0	10.26	0	0.00	0	0.00	1205950		
	TONGANI TEA COMPANY LTD.	AAACD9238Q	1	2260650	0	0	2260650	19.24	2260650	0	2260650	19.24	0	19.24	0	0.00	0	0.00	2260650		
	Anjum Investments Pvt. Ltd.	AACCA1869J	1	88597	0	0	88597	0.75	88597	0	88597	0.75	0	0.75	0	0.00	0	0.00	88597		
	Sub Total(A)(1)		9	5702457	0	0	5702457	48.53	5702457	0	5702457	48.53	0	48.53	0	0.00	0	0.00	5702457		
2	Foreign																				
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																				
(b)	Government																				
(c)	Institutions																				
(d)	Foreign Portfolio Investor																				
(e)	Any Others(Specify)																				
	Sub Total(A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		9	5702457	0	0	5702457	48.53	5702457	0	5702457	48.53	0	48.53	0	0.00	0	0.00	5702457		
Note :																					
(1) PAN would not be displayed on website of Stock Exchange(s)																					
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011																					

Table III- Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									(i)	(ii)	(iii)			(xii)	(xiii)	(xiv)			
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
									No. of Voting Rights			Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No.(Not applicable) (a)	As a % of total Shares held (Not applicable)(b)			
								Class X	Class Y	Total									
1	Institutions																		
(a)	Mutual Funds/ UTI		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions/ Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds/ Pension Funds																		
(i)	Any Other (Specify)																		
	Sub Total(B)(1)		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0
2	Central Government/ State Government(s)/ President of India																		
	State Government																		
	Sub Total(B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

3	Non-Institutions																		
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs 2 lakhs		25471	5110182	0	0	5110182	43.49	5110182	0	5110182	43.49	0	43.49	0	0.00	0	0.00	2498976
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	248788	0	0	248788	2.12	248788	0	248788	2.12	0	2.12	0	0.00	0	0.00	248788
	SANTOSH KUMAR DALMIA & SONS HUF	AAFHS5294B	1	128467	0	0	128467	1.09	128467	0	128467	1.09	0	1.09	0	0.00	0	0.00	128467
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other(Specify)																		
(e-i)	NRI		23	38389	0	0	38389	0.33	38389	0	38389	0.33	0	0.33	0	0.00	0	0.00	38389
(e-ii)	Bodies Corporate		126	635784	0	0	635784	5.41	635784	0	635784	5.41	0	5.41	0	0.00	0	0.00	625884
	Trupati Balaji Constructions Pvt Ltd	AABCT0691C	1	239864	0	0	239864	2.04	239864	0	239864	2.04	0	2.04	0	0.00	0	0.00	239864
	CREDWYN HOLDINGS (INDIA) PVT LTD	AABCC0552H	1	170000	0	0	170000	1.45	170000	0	170000	1.45	0	1.45	0	0.00	0	0.00	170000
(e-iii)	Clearing Member		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total(B)(3)		25625	6033143	0	0	6033143	51.35	6033143	0	6033143	51.35	0	51.35	0	0.00	0	0.00	3412037
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		25628	6047543	0	0	6047543	51.47	6047543	0	6047543	51.47	0	51.47	0	0.00	0	0.00	3412037
	Note:																		
	(1) PAN would not be displayed on website of Stock Exchange(s)																		
	(2) The above formats need to be disclosed along with the name of the following persons : Institutions/ Non institutions holding more than 1% of total number of shares																		
	(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian																		

Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of shares
NIL	

Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

	Category & Name of the shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid- up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (not applicable)
									(i)	(ii)	(iii)			(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	
									No. of Voting Rights					No.	As a % of total Shares held	No.(not applicable)	As a % of total Shares held (Not applicable)	
									Class X	Class Y	Total							
1	Custodian/ DR Holder																	
(a)	Name of DR Holder (if available)																	
(i)																		
(ii)																		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(a)	Name																	
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	Note :																	
	(1) PAN would not be displayed on website of Stock Exchange(s)																	
	(2) The above format needs to disclose name of all holders holding more than 1% of total number of																	
	(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available																	