

ANNEXURE I

COMPLIANCE REPORT ON CORPORATE GOVERNANCE

(Pursuant of Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)

1. Name of Listed Entity NORBEN TEA & EXPORTS LIMITED
 2. Quarter ending 30TH SEPTEMBER, 2020

I. Composition of Board of Directors												
Title Mr./Ms.	Name of the Director	PAN & DIN	Category (Chairperson / Executive / Non Executive / independent / Nominee)	Initial Date of Appointment	Date of Re-Appointment	Date of cessation	Tenure	Date of Birth	No of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to Regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
MR.	Manoj Kumar Daga	ADLPD9792L 00123386	Executive	01-04-1994	01-04-2019	--	--	06-01-1963	3	1	3	2
MR.	Ranjan Kumar Jhalaria	ACGPJ3401Q 05353976	Independent	13-08-2012	26-07-2019	--	14	08-08-1955	1	1	2	2
MS.	Swati Agarwal	BPKPS0433M 06804522	Independent	14-02-2014	26-07-2019	--	14	07-06-1985	1	1	2	--
MR.	Balkrishan Agarwal	AJEP6882B 08599472	Non-Executive	11-11-2019	--	--	--	07-09-1985	1	--	2	--
MR.	Deepak Tiwari	ARWPT2931N 08839075	Independent	24-08-2020	24-08-2020	--	1	12-10-1991	1	--	--	--
MS.	Tanusree Chatterjee	ACQPC7901C 08837933	Independent	24-08-2020	24-08-2020	--	1	23-07-1953	1	--	--	--

Whether Regular chairperson appointed : YES

Whether Chairperson is related to Managing Director or CEO : YES

II. Composition of Committees					
Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	Ranjan Kumar Jhalaria	Independent	13-08-2012	
		Swati Agarwal	Independent	14-02-2014	
		Balkrishan Agarwal	Non-Executive	11-11-2019	
2. Nomination & Remuneration Committee	Yes	Ranjan Kumar Jhalaria	Independent	13-08-2012	
		Swati Agarwal	Independent	14-02-2014	
		Balkrishan Agarwal	Non-Executive	11-11-2019	
3. Risk Management Committee(if applicable)		Not Applicable			
4. Stakeholders Relationship Committee	Yes	Ranjan Kumar Jhalaria	Independent	13-08-2012	
		Swati Agarwal	Independent	14-02-2014	
		Balkrishan Agarwal	Non-Executive	11-11-2019	
		Manoj Kumar Daga	Executive	11-11-2014	

III. Meeting of Board of Directors					
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present	Maximum gap between any two consecutive (in number of days)
4/18/2020	11-07-2020	Yes	3	2	83
	24-08-2020	Yes	5	4	
	29-08-2020	Yes	5	4	
IV. Meeting of Committees					
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Number of Directors present	Number of Independent Directors present	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in
11-07-2020	Y	2		Audit Committee	48
29-08-2020		2			

V. Related Party Transactions	
Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	N.A.
Whether shareholder approval obtained for material RPT	N.A.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	N.A.
VI. Affirmations	
<p>1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.</p> <p>2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015</p> <p style="margin-left: 20px;">a. Audit Committee</p> <p style="margin-left: 20px;">b. Nomination & Remuneration Committee</p> <p style="margin-left: 20px;">c. Stakeholders Relationship Committee</p> <p style="margin-left: 20px;">d. Risk Management Committee (applicable to the top 100 listed entities) :</p> <p>3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.</p> <p>4. The meetings of the Board of Directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.</p> <p>5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:</p>	
<p>For NORBEN TEA & EXPORTS LIMITED</p> <p style="margin-left: 40px;">Sd/-</p> <p>Name & Designation : MANOJ KUMAR DAGA Managing Director DIN:00123386</p>	

COMPLIANCE REPORT ON CORPORATE GOVERNANCE

(Pursuant of Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)

1 Name of Listed Entity :: NORBEN TEA & EXPORTS LIMITED
 2 Quarter ending :: 30TH SEPTEMBER, 2020

Affirmations		
Broad heading	Regulation Number	Compliance status (Yes/No/NA)
<i>Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website.</i>	46(2)	Y
<i>Presence of Chairperson of Audit Committee at the Annual General Meeting</i>	18(1)(d)	Y
<i>Presence of Chairperson of the Nomination and Remuneration Committee at the Annual General Meeting</i>	19(3)	Y
<i>Presence of Chairperson of the Stakeholder Relationship Committee at the Annual General Meeting</i>	20(3)	Y
<i>Whether "Corporate Governance Report" disclosed in Annual Report</i>	<i>34(3) read with para C of Schedule V</i>	Y
<p>Note</p> <p>1 <i>In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.</i></p> <p>2 <i>If status is "No" details of non-compliance may be given here.</i></p> <p>3 <i>If the Listed Entity would like to provide any other information the same may be indicated here.</i></p>		
<p>For NORBEN TEA & EXPORTS LIMITED</p> <p>Sd/- Name & Designation: MANOJ KUMAR DAGA Managing Director DIN:00123386</p>		