

**COMPLIANCE REPORT ON CORPORATE GOVERNANCE**  
(Pursuant of Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)

ANNEXURE I

1. Name of Listed Entity NORBEN TEA & EXPORTS LIMITED  
2. Quarter ending 30TH SEPTEMBER, 2024

**I. Composition of Board of Directors**

Title Mr./Ms.	Name of the Director	PAN & DIN	Category (Chairperson / Executive / Non Executive / independent / Nominee)	Initial Date of Appointment	Date of Re-Appointment	Date of cessation	Tenure	Date of Birth	No of Directorship in listed entities including this listed entity <small>[in reference to Regulation 17A(1)]</small>	No of Independent Directorship in listed entities including this listed entity <small>[in reference to proviso to Regulation 17A(1)]</small>	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity <small>(Refer Regulation 26(1) of Listing Regulations)</small>	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity <small>(Refer Regulation 26(1) of Listing Regulations)</small>
MR.	Manoj Kumar Daga	00123386	Executive	01/04/1994	01/04/2024	--	--	06/01/1963	2	--	2	1
MR.	Ashok Vardhan Bagree	00421623	Independent	09/08/2024	--	--	1.23	29/12/1966	2	2	4	3
MS.	Komal Bhotika	08845578	Independent	09/08/2024	--	--	1.23	18/05/1988	2	2	3	1
MR.	Balkrishan Agarwal	08599472	Non-Executive	11/11/2019	--	--	--	07/09/1985	1	--	2	--
MR.	Deepak Tiwari	08839075	Independent	24/08/2020	24/08/2020	--	49.08	12/10/1991	2	2	--	--
MS.	Tanusree Chatterjee	08837933	Independent	24/08/2020	24/08/2020	--	49.08	23/07/1953	1	1	--	--

Whether Regular chairperson appointed : YES
Whether Chairperson is related to Managing Director or CEO : YES

For NORBEN TEA & EXPORTS LTD.

*M. S. D.*

Mg. Director

**COMPLIANCE REPORT ON CORPORATE GOVERNANCE**  
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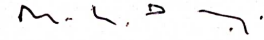
ANNEXURE I

1. Name of Listed Entity **NORBEN TEA & EXPORTS LIMITED**  
2. Quarter ending **30TH SEPTEMBER, 2024**

I. Composition of Board of Directors												
Title Mr./Ms	Name of the Director	PAN & DIN	Category (Chairperson / Executive / Non Executive / independent / Nominee)	Initial Date of Appointment	Date of Re-Appointment	Date of cessation	Tenure	Date of Birth	No of Directorship in listed entities including this listed entity  [in reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including this listed entity  [in reference to proviso to Regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity  (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity  (Refer Regulation 26(1) of Listing Regulations)
MR.	Manoj Kumar Daga	ADLPD9792L 00123386	Executive	01/04/1994	01/04/2024	--	--	06/01/1963	2	--	2	1
MR.	Ashok Vardhan Bagree	ADGPB7550B 00421623	Independent	09/08/2024	--	--	1.23	29/12/1966	2	2	4	3
MS.	Komal Bhotika	ADLPB2334C 08845578	Independent	09/08/2024	--	--	1.23	18/05/1988	2	2	3	1
MR.	Balkrishan Agarwal	AJEPA6882B 08599472	Non-Executive	11/11/2019	--	--	--	07/09/1985	1	--	2	--
MR.	Deepak Tiwari	ARWPT2931N 08839075	Independent	24/08/2020	24/08/2020	--	49.08	12/10/1991	2	2	--	--
MS.	Tanusree Chatterjee	ACQPC7901C 08837933	Independent	24/08/2020	24/08/2020	--	49.08	23/07/1953	1	1	--	--

Whether Regular chairperson appointed : YES
Whether Chairperson is related to Managing Director or CEO : YES

For NORBEN TEA & EXPORTS LTD.

  
Ma. Director

II. Composition of Committees					
Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	Ashok Vardhan Bagree	Independent	09/08/2024	
		Komal Bhotika	Independent	09/08/2024	
		Balkrishan Agarwal	Non-Executive	11/11/2019	
2. Nomination & Remuneration Committee	Yes	Ashok Vardhan Bagree	Independent	09/08/2024	
		Komal Bhotika	Independent	09/08/2024	
		Balkrishan Agarwal	Non-Executive	11/11/2019	
3. Risk Management Committee(if applicable)		Not Applicable			
4. Stakeholders Relationship Committee	Yes	Ashok Vardhan Bagree	Independent	09/08/2024	
		Komal Bhotika	Independent	09/08/2024	
		Balkrishan Agarwal	Non-Executive	11/11/2019	
		Manoj Kumar Daga	Executive	11/11/2014	

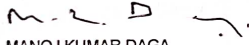
III. Meeting of Board of Directors					
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present	Maximum gap between any two consecutive (in number of days)
29/05/2024	07/08/2024 09/08/2024	Yes	6	4	69

IV. Meeting of Committees							
Date(s) of meeting of the committee in the relevant quarter		Whether requirement of Quorum met (details)	Number of Directors present	Number of Independent Directors present	Date(s) of meeting of the committee in the previous quarter		Maximum gap between any two consecutive meetings in number of days
07/08/2024	Audit Committee	Y	3	2	29/05/2024	Audit Committee	69
--	Nomination & Remuneration Committee	--	--	--	29/05/2024	Nomination & Remuneration Committee	--
07/08/2024	Stakeholder Relationship Committee	Y	4	2	29/05/2024	Stakeholder Relationship Committee	69

For NORBEN TEA & EXPORTS LTD.

*M. L. D. S.*

Ma. Director

V. Related Party Transactions	
Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	YES
Whether shareholder approval obtained for material RPT	YES
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	YES
VI. Affirmations	
<p>1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.</p> <p>2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015</p> <p>a. Audit Committee</p> <p>b. Nomination &amp; Remuneration Committee</p> <p>c. Stakeholders Relationship Committee</p> <p>d. Risk Management Committee (applicable to the top 100 listed entities) :</p> <p>3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.</p> <p>4. The meetings of the Board of Directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.</p> <p>5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:</p>	
For NORBEN TEA & EXPORTS LIMITED	
Name & Designation :	 MANOJ KUMAR DAGA Managing Director DIN:00123386



## COMPLIANCE REPORT ON CORPORATE GOVERNANCE

(Pursuant of Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)

1 Name of Listed Entity :: NORBEN TEA & EXPORTS LIMITED  
 2 Quarter ending :: 30TH SEPTEMBER, 2024

Affirmations		
Broad heading	Regulation Number	Compliance status (Yes/No/NA)
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website.	46(2)	Y
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Y
Presence of Chairperson of the Nomination and Remuneration Committee at the Annual General Meeting	19(3)	Y
Presence of Chairperson of the Stakeholder Relationship Committee at the Annual General Meeting	20(3)	Y
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Y
<p><b>Note</b></p> <p>1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.</p> <p>2 If status is "No" details of non-compliance may be given here.</p> <p>3 If the Listed Entity would like to provide any other information the same may be indicated here.</p>		
<p>For <b>NORBEN TEA &amp; EXPORTS LIMITED</b></p> <p style="text-align: center;"><i>M. K. Daga</i></p> <p>Name &amp; Designation: <b>MANOJ KUMAR DAGA</b>          Managing Director          DIN:00123386</p>		

**ANNEXURE IV**

**COMPLIANCE REPORT ON CORPORATE GOVERNANCE**

(Pursuant of Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)

1 Name of Listed Entity :: NORBEN TEA & EXPORTS LIMITED  
 2 Half Year ending :: 30TH SEPTEMBER, 2024

<b>I. Disclosure of Loans / guarantees / comfort letters / securities etc.</b>			
<b>(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to :</b>			
<b>Entity</b>		<b>Aggregate amount advanced during six months</b>	<b>Balance outstanding at the end of six months</b>
Promoter or any other entity controlled by them		NIL	NIL
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
<b>(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by :</b>			
<b>Entity</b>	<b>Type (guarantee, comfort letter etc.)</b>	<b>Aggregate amount of issuance during six months</b>	<b>Balance outstanding at the end of six months (taking into account any invocation)</b>
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			

For NORBEN TEA & EXPORTS LTD

*M. L. D.*  
 Mg. Director

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by :

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			

**II. Affirmations :**

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.

For **NORBEN TEA & EXPORTS LIMITED**

Name & Designation: *Payal Surolia*  
**PAYAL SUROLIA**  
**CFO**