

Name of the Listed Entity : NORBEN TEA & EXPORTS LIMITED

CIN : L01132WB1990PLC048991

Class of Security : EQUITY

Share Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 30.09.2022

Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-

| | Particulars | Yes* | No* |
|---|---|------|-----|
| 1 | Whether the Listed Entity has issued any partly paid up shares ? | | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants ? | | No |
| 3 | Whether the Listed Entity has any shares against which Depository receipts are issued ? | | No |
| 4 | Whether the Listed Entity has any shares in locked-in ? | | No |
| 5 | Whether any shares held by Promoters are pledged or otherwise encumbered ? | | No |
| 6 | Whether the listed entity has any significant beneficial owner | Yes | |

Table I - Summary Statement holding of specified securities

| Category | Category of shareholder | Nos. of shareholders | No. of fully paid up equity shares held | No. of partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total no. of shares held | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) | Number of Voting Rights held in each class of securities | | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialised form |
|----------|---------------------------------|----------------------|---|--|--|--------------------------|---|--|--------------|----------|-------------------------|--|---|----------------------------|---------------------------------|--|---------------------------------|---|
| (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) = (iv)+(v)+(vi) | (viii) As a % of (A+B+C2) | (ix) | | | | (x) | (xi) = (vii)+(x) As a % of (A+B+C2) | (xii) | | (xiii) | | (xiv) |
| | | | | | | | | No. of Voting Rights | | | Total as a % of (A+B+C) | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| | | | | | | | | Class eg : X | Class eg : Y | Total | | | | | | | | |
| (A) | Promoter & Promoter Group | 9 | 6044759 | 0 | 0 | 6044759 | 51.44 | 6044759 | 0 | 6044759 | 51.44 | 0 | 51.44 | 0 | 0.00 | 0 | 0.00 | 6044759 |
| (B) | Public | 24916 | 5705241 | 0 | 0 | 5705241 | 48.56 | 5705241 | 0 | 5705241 | 48.56 | 0 | 48.56 | 0 | 0.00 | 0 | 0.00 | 3234335 |
| (C) | Non Promoter - Non Public | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (C1) | Shares underlying DRs | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (C2) | Shares held by Employees Trusts | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| | Total | 24925 | 11750000 | 0 | 0 | 11750000 | 100.00 | 11750000 | 0 | 11750000 | 100.00 | 0 | 100.00 | 0 | 0.00 | 0 | 0.00 | 9279094 |

Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

| | Category & Name of the shareholders | Entity type i.e. Pomoter OR promoter group entity (except promoter) | PAN | No. of shareholder | No. of fully paid up equity shares held | Partly paid- up equity shares held | Nos. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957) | Number of Voting Rights held in each class of securities | | | | No.of Shares Underlying Outstanding convertible securities (Including Warrants) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialised form |
|--|--|---|-------|--------------------|---|------------------------------------|---|-------------------------|--|--|---------|---------|-------------------------------------|---|---|----------------------------|---------------------------------|--|---------------------------------|---|
| | (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) | (viii) = (v)+(vi)+(vii) | (ix) As a % of (A+B+C2) | (x) | | | | (xi) | (xii) = (viii)+(xi) as a % of (A+B+C2) | (xiii) | | (xiv) | | (xv) |
| | | | | | | | | | | No. of Voting Rights | | | Total as a % of Total Voting rights | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| | | | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| 1 | Indian | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals/ Hindu Undivided Family | | | 6 | 2489562 | | | 2489562 | 21.19 | 2489562 | 0 | 2489562 | 21.19 | 0 | 21.19 | 0 | 0.00 | 0 | 0.00 | 2489562 |
| | MANOJ KUMAR DAGA | Promoter | | 1 | 120501 | 0 | 0 | 120501 | 1.03 | 120501 | 0 | 120501 | 1.03 | 0 | 1.03 | 0 | 0.00 | 0 | 0.00 | 120501 |
| | SHANTI DEVI DAGA | Promoter Group | | 1 | 670056 | 0 | 0 | 670056 | 5.70 | 670056 | 0 | 670056 | 5.70 | 0 | 5.70 | 0 | 0.00 | 0 | 0.00 | 670056 |
| | MANOJ KUMAR DAGA(HUF) | Promoter Group | | 1 | 45946 | 0 | 0 | 45946 | 0.39 | 45946 | 0 | 45946 | 0.39 | 0 | 0.39 | 0 | 0.00 | 0 | 0.00 | 45946 |
| | DIVISHA DAGA | Promoter Group | | 1 | 587343 | 0 | 0 | 587343 | 5.00 | 587343 | 0 | 587343 | 5.00 | 0 | 5.00 | 0 | 0.00 | 0 | 0.00 | 587343 |
| | JYOTI DEVI DAGA | Promoter Group | | 1 | 577300 | 0 | 0 | 577300 | 4.91 | 577300 | 0 | 577300 | 4.91 | 0 | 4.91 | 0 | 0.00 | 0 | 0.00 | 577300 |
| | PALLAVI DAGA | Promoter Group | | 1 | 488416 | 0 | 0 | 488416 | 4.16 | 488416 | 0 | 488416 | 4.16 | 0 | 4.16 | 0 | 0.00 | 0 | 0.00 | 488416 |
| (b) | Central Government/ State Government(s) | | | | | | | | | | | | | | | | | | | |
| (c) | Financial Institutions/ Banks | | | | | | | | | | | | | | | | | | | |
| (d) | Any Other (Specify) | | | | | | | | | | | | | | | | | | | |
| (d-i) | Bodies Corporate | | | 3 | 3555197 | 0 | 0 | 3555197 | 30.26 | 3555197 | 0 | 3555197 | 30.26 | 0 | 30.26 | 0 | 0.00 | 0 | 0.00 | 3555197 |
| | MANGALAM PRODUCTS PVT.LTD. | Promoter Group | | 1 | 1205950 | 0 | 0 | 1205950 | 10.26 | 1205950 | 0 | 1205950 | 10.26 | 0 | 10.26 | 0 | 0.00 | 0 | 0.00 | 1205950 |
| | TONGANI TEA COMPANY LTD. | Promoter Group | | 1 | 2260650 | 0 | 0 | 2260650 | 19.24 | 2260650 | 0 | 2260650 | 19.24 | 0 | 19.24 | 0 | 0.00 | 0 | 0.00 | 2260650 |
| | Anjum Investments Pvt. Ltd. | Promoter Group | | 1 | 88597 | 0 | 0 | 88597 | 0.75 | 88597 | 0 | 88597 | 0.75 | 0 | 0.75 | 0 | 0.00 | 0 | 0.00 | 88597 |
| | Sub Total(A)(1) | | | 9 | 6044759 | 0 | 0 | 6044759 | 51.44 | 6044759 | 0 | 6044759 | 51.44 | 0 | 51.44 | 0 | 0.00 | 0 | 0.00 | 6044759 |
| 2 | Foreign | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Non-Resident Individuals/ Foreign Individuals) | | | | | | | | | | | | | | | | | | | |
| (b) | Government | | | | | | | | | | | | | | | | | | | |
| (c) | Institutions | | | | | | | | | | | | | | | | | | | |
| (d) | Foreign Portfolio Investor | | | | | | | | | | | | | | | | | | | |
| (e) | Any Others(Specify) | | | | | | | | | | | | | | | | | | | |
| | Sub Total(A)(2) | | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| | Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | 9 | 6044759 | 0 | 0 | 6044759 | 51.44 | 6044759 | 0 | 6044759 | 51.44 | 0 | 51.44 | 0 | 0.00 | 0 | 0.00 | 6044759 |
| | Note : | | | | | | | | | | | | | | | | | | | |
| (1) PAN would not be displayed on website of Stock Exchange(s) | | | | | | | | | | | | | | | | | | | | |
| (2) The term "Encumbrance" has the same meaning | | | | | | | | | | | | | | | | | | | | |

[illegible]

Details of the shareholders acting as Persons in Concert including their Shareholding (No. and %)

[illegible]

Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

[illegible]

Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

| | Category & Name of the shareholders | PAN | No. of shareholder | No. of fully paid up equity shares held | Partly paid- up equity shares held | Nos. of shares underlying Depository Receipts | Total no. shares held | Shareholding % calculated as per SCRR, 1957) | Number of Voting Rights held in each class of securities | | | | No.of Shares Underlying Outstanding convertible securities (including Warrants) | Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialised form (not applicable) |
|--|--|------|--------------------|---|------------------------------------|---|-----------------------|--|--|---------|-------|-------------------------------------|---|---|----------------------------|-----------------------------|--|--|--|
| | (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) = (iv)+(v)+(vi) | (viii) As a % of (A+B+C2) | (ix) | | | | (x) | (xi) = (vii)+(x) As a % of (A+B+C2) | (xii) | | (xiii) | | (xiv) |
| | | | | | | | | | No. of Voting Rights | | | Total as a % of Total Voting rights | | | No. | As a % of total Shares held | No.(not applicable) | As a % of total Shares held (Not applicable) | |
| | | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| 1 | Custodian/ DR Holder | | | | | | | | | | | | | | | | | | |
| (a) | Name of DR Holder (if available) | | | | | | | | | | | | | | | | | | |
| (i) | | | | | | | | | | | | | | | | | | | |
| (ii) | | | | | | | | | | | | | | | | | | | |
| 2 | Employee Benefit Trust Employee Welfare Trust under SEBI (share based Employee Benefits and Sweat Equity) Regulations, 2021 | | | | | | | | | | | | | | | | | | |
| (a) | Name | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | |
| | Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2) | | | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| | Note : | | | | | | | | | | | | | | | | | | |
| (1) PAN would not be displayed on website of Stock Exchange(s) | | | | | | | | | | | | | | | | | | | |
| (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format. | | | | | | | | | | | | | | | | | | | |
| (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available | | | | | | | | | | | | | | | | | | | |

Table V - Statement showing details of significant beneficial owners (SBOs)

| Sr. No. | Details of the SBO | | | Details of the registered owner | | | Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect* | | | | | Date of creation / acquisition of significant beneficial interest# | |
|---------|--------------------|--|-------------|---------------------------------|---|-------------|---|-----------------|--|---------------------|-----------------------------------|--|------|
| | (I) | | | (II) | | | (III) | | | | | | (IV) |
| | Name | PAN / Passport No. in case of a foreign national | Nationality | Name | PAN / Passport No.in case of a foreign national | Nationality | Whether by virtue of | | | | | | |
| | | | | | | | Shares % | Voting rights % | Rights on distributable dividend or any other distribution | Exercise of control | Exercise of significant influence | | |
| 1 | DIVISHA DAGA | | INDIAN | MANGALAM PRODUCTS PVT.LTD. | | INDIAN | 2.51 | 2.51 | YES | YES | YES | 21-12-2006 | |
| | | | | TONGANI TEA COMPANY LTD. | | | 0.47 | 0.47 | | | | 31-10-2009 | |
| | | | | | | | | | | | | | |
| 2 | JYOTI DEVI DAGA | | INDIAN | MANGALAM PRODUCTS PVT.LTD. | | INDIAN | 0.61 | 0.61 | YES | YES | YES | 31-07-2002 | |
| | | | | TONGANI TEA COMPANY LTD. | | | 4.38 | 4.38 | | | | 29-04-2000 | |
| | | | | | | | | | | | | | |
| 3 | SHANTI DEVI DAGA | | INDIAN | MANGALAM PRODUCTS PVT.LTD. | | INDIAN | 2.35 | 2.35 | YES | YES | YES | 31-07-2002 | |
| | | | | TONGANI TEA COMPANY LTD. | | | 4.43 | 4.43 | | | | 29-04-2000 | |
| | | | | | | | | | | | | | |
| 4 | MANOJ KUMAR DAGA | | INDIAN | MANGALAM PRODUCTS PVT.LTD. | | INDIAN | 1.55 | 1.55 | YES | YES | YES | 31-07-2002 | |
| | | | | TONGANI TEA COMPANY LTD. | | | 4.60 | 4.60 | | | | 29-04-2000 | |
| | | | | MANOJ KUMAR DAGA (HUF) | | | 0.39 | 0.39 | | | | 29-04-2000 | |
| | | | | | | | | | | | | | |
| 5 | PALLAVI DAGA | | INDIAN | MANGALAM PRODUCTS PVT.LTD. | | INDIAN | 2.53 | 2.53 | YES | YES | YES | 01-08-2011 | |
| | | | | TONGANI TEA COMPANY LTD. | | | 0.47 | 0.47 | | | | 31-10-2009 | |
| | | | | | | | | | | | | | |

* In case the nature of the holding / exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

This column shall have the details as specified by the listed entity under Form No.BEN-2 as submitted to the Registrar.

Table V - Statement showing foreign ownership limits

| | <i>Board approved limits</i> | <i>Limits utilized</i> |
|---------------------------------------|------------------------------|------------------------|
| As on shareholding date | | |
| As on the end of previous 1st quarter | | |
| As on the end of previous 2nd quarter | | |
| As on the end of previous 3rd quarter | | |
| As on the end of previous 4th quarter | | |