

Format of holding of specific securities

Annexure - I

Name of the Listed Entity : NORBEN TEA & EXPORTS LIMITED

CIN : L01132WB1990PLC048991

Class of Security : EQUITY

Share Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 30.09.2021

Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares ?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants ?		No
3	Whether the Listed Entity has any shares against which Depository receipts are issued ?		No
4	Whether the Listed Entity has any shares in locked-in ?		No
5	Whether any shares held by Promoters are pledged or otherwise encumbered ?		No
6	Whether the listed entity has any significant beneficial owner ?	Yes	

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
								No. of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class eg : X	Class eg : Y	Total								
(A)	Promoter & Promoter Group	9	6044759	0	0	6044759	51.44	6044759	0	6044759	51.44	0	51.44	0	0.00	0	0.00	6044759
(B)	Public	25318	5705241	0	0	5705241	48.56	5705241	0	5705241	48.56	0	48.56	0	0.00	0	0.00	3214935
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	25327	11750000	0	0	11750000	100.00	11750000	0	11750000	100.00	0	100.00	0	0.00	0	0.00	9259694

Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Entity type i.e. Promoter OR promoter group entity (except promoter)	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid- up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
										(i)	(ii)	(iii)			(iv)	(v)	(vi)	(vii)		(viii) = (v)+(vi)+(vii)
										No. of Voting Rights			Total as a % of Total Voting rights		No. (a) As a % of total Shares held (b)		No. (a) As a % of total Shares held (b)			
										Class X	Class Y	Total								
1	Indian																			
(a)	Individuals/ Hindu Undivided Family			6	2489562			2489562	21.19	2489562	0	2489562	21.19	0	21.19	0	0.00	0	0.00	2489562
	MANOJ KUMAR DAGA	Promoter		1	120501	0	0	120501	1.03	120501	0	120501	1.03	0	1.03	0	0.00	0	0.00	120501
	SHANTI DEVI DAGA	Promoter Group		1	670056	0	0	670056	5.70	670056	0	670056	5.70	0	5.70	0	0.00	0	0.00	670056
	MANOJ KUMAR DAGA(HUF)	Promoter Group		1	45946	0	0	45946	0.39	45946	0	45946	0.39	0	0.39	0	0.00	0	0.00	45946
	DIVISHA DAGA	Promoter Group		1	587343	0	0	587343	5.00	587343	0	587343	5.00	0	5.00	0	0.00	0	0.00	587343
	JYOTI DEVI DAGA	Promoter Group		1	577300	0	0	577300	4.91	577300	0	577300	4.91	0	4.91	0	0.00	0	0.00	577300
	PALLAVI DAGA	Promoter Group		1	488416	0	0	488416	4.16	488416	0	488416	4.16	0	4.16	0	0.00	0	0.00	488416
(b)	Central Government/ State Government(s)																			
(c)	Financial Institutions/ Banks																			
(d)	Any Other (Specify)																			
(d-i)	Bodies Corporate			3	3555197	0	0	3555197	30.26	3555197	0	3555197	30.26	0	30.26	0	0.00	0	0.00	3555197
	MANGALAM PRODUCTS PVT.LTD.	Promoter Group		1	1205950	0	0	1205950	10.26	1205950	0	1205950	10.26	0	10.26	0	0.00	0	0.00	1205950
	TONGANI TEA COMPANY LTD.	Promoter Group		1	2260650	0	0	2260650	19.24	2260650	0	2260650	19.24	0	19.24	0	0.00	0	0.00	2260650
	Anjum Investments Pvt. Ltd.	Promoter Group		1	88597	0	0	88597	0.75	88597	0	88597	0.75	0	0.75	0	0.00	0	0.00	88597
	Sub Total(A)(1)			9	6044759	0	0	6044759	51.44	6044759	0	6044759	51.44	0	51.44	0	0.00	0	0.00	6044759
2	Foreign																			
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																			
(b)	Government																			
(c)	Institutions																			
(d)	Foreign Portfolio Investor																			
(e)	Any Others(Specify)																			
	Sub Total(A)(2)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			9	6044759	0	0	6044759	51.44	6044759	0	6044759	51.44	0	51.44	0	0.00	0	0.00	6044759
Note :																				
(1) PAN would not be displayed on website of Stock Exchange(s)																				
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011																				

Table III- Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid- up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No. of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held (b)	No.(Not applicable) (a)	As a % of total Shares held (Not applicable)(b)		
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
									Class X	Class Y	Total								
1	Institutions																		
(a)	Mutual Funds/ UTI		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions/ Banks		1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	0	0.00	100
(g)	Insurance Companies																		
(h)	Provident Funds/ Pension Funds																		
(i)	Any Other (Specify)																		
	Sub Total(B)(1)		4	14500	0	0	14500	0.12	14500	0	14500	0.12	0	0.12	0	0.00	0	0.00	100
2	Central Government/ State Government(s)/ President of India																		
	State Government																		
	Sub Total(B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

3	Non-Institutions																		
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs 2 lakhs	25182	4981271	0	0	4981271	42.39	4981271	0	4981271	42.39	0	42.39	0	0.00	0	0.00	2515265	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	7	299804	0	0	299804	2.55	299804	0	299804	2.55	0	2.55	0	0.00	0	0.00	299804	
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other(Specify)																		
(e-i)	NRI	22	34384	0	0	34384	0.29	34384	0	34384	0.29	0	0.29	0	0.00	0	0.00	34384	
(e-ii)	Bodies Corporate	103	375282	0	0	375282	3.19	375282	0	375282	3.19	0	3.19	0	0.00	0	0.00	365382	
	Trupati Balaji Constructions Pvt Ltd	1	239864	0	0	239864	2.04	239864	0	239864	2.04	0	2.04	0	0.00	0	0.00	239864	
(e-iii)	Clearing Member	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Sub Total(B)(3)	25314	5690741	0	0	5690741	48.43	5690741	0	5690741	48.43	0	48.43	0	0.00	0	0.00	3214835	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	25318	5705241	0	0	5705241	48.56	5705241	0	5705241	48.56	0	48.56	0	0.00	0	0.00	3214935	
	Note:																		
	(1) PAN would not be displayed on website of Stock Exchange(s)																		
	(2) The above formats need to be disclosed along with the name of the following persons : Institutions/ Non institutions holding more than 1% of total number of shares																		
	(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian																		

Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of shares
NIL	

Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

	Category & Name of the shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (not applicable)	
									(viii) As a % of (A+B+C2)	(ix)				(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)			(xiii)
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)				(x)	(xi) = (vii)+(x) As a % of (A+B+C2)					(xiv)	
									No. of Voting Rights					No.	As a % of total Shares held	No.(not applicable)	As a % of total Shares held (Not applicable)		
									Class X	Class Y	Total								
1	Custodian/ DR Holder																		
(a)	Name of DR Holder (if available)																		
(i)																			
(ii)																			
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
(a)	Name																		
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Note :																			
(1) PAN would not be displayed on website of Stock Exchange(s)																			
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares																			
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available																			

Annexure

Table V - Statement showing details of significant beneficial owners (SBOs)

Sr. No.	Details of the SBO			Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest#	
	(I)			(II)			(III)						(IV)
	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Whether by virtue of						
						Shares %	Voting rights %	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence			
1	DIVISHA DAGA		INDIAN	MANGALAM PRODUCTS PVT.LTD.		INDIAN	2.51	2.51	YES	YES	YES	21-12-2006	
				TONGANI TEA COMPANY LTD.			0.47	0.47				31-10-2009	
2	JYOTI DEVI DAGA		INDIAN	MANGALAM PRODUCTS PVT.LTD.		INDIAN	0.61	0.61	YES	YES	YES	31-07-2002	
				TONGANI TEA COMPANY LTD.			4.38	4.38				29-04-2000	
3	SHANTI DEVI DAGA		INDIAN	MANGALAM PRODUCTS PVT.LTD.		INDIAN	2.35	2.35	YES	YES	YES	31-07-2002	
				TONGANI TEA COMPANY LTD.			4.43	4.43				29-04-2000	
4	MANOJ KUMAR DAGA		INDIAN	MANGALAM PRODUCTS PVT.LTD.		INDIAN	1.55	1.55	YES	YES	YES	31-07-2002	
				TONGANI TEA COMPANY LTD.			4.60	4.60				29-04-2000	
				MANOJ KUMAR DAGA (HUF)			0.39	0.39				29-04-2000	
5	PALLAVI DAGA		INDIAN	MANGALAM PRODUCTS PVT.LTD.		INDIAN	2.53	2.53	YES	YES	YES	01-08-2011	
				TONGANI TEA COMPANY LTD.			0.47	0.47				31-10-2009	

* In case the nature of the holding / exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

This column shall have the details as specified by the listed entity under Form No.BEN-2 as submitted to the Registrar.