Format of holding of specific securities										
Name of the Listed Entity: NORBEN TEA & EXPORTS LIMITED										
CIN: L01132WB1990PLC048991										
Class of Security: EQUITY										
hare	Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 31.03.2021									
Declar	ation : The Listed entity is required to submit the following declaration to the extent of submiss  Particulars	sion of infor	mation :-  No*							
Declar 1	,	1								
	Particulars	1	No*							
1	Particulars  Whether the Listed Entity has issued any partly paid up shares ?	1	No*							
1 2	Particulars  Whether the Listed Entity has issued any partly paid up shares?  Whether the Listed Entity has issued any Convertible Securities or Warrants?	1	No* No No							
1 2 3	Particulars  Whether the Listed Entity has issued any partly paid up shares?  Whether the Listed Entity has issued any Convertible Securities or Warrants?  Whether the Listed Entity has any shares against which Depository receipts are issued?	1	No* No No							

Table I - Summary Statement holding of specified securities

(i)	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held (iv)	partly paid- up equity shares	No. of shares underlying Depository Receipts	shares held	as a % of total no. of shares ( calculated as per SCRR, 1957) (viii) As a % of	Number of class of se	curities	Rights held		No.of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)  (xi) = (vii)+(x) As a % of (A+B+C2)		nber of ked in res		es ged or	Number of equity shares held in dematerialise d form
								Class eg	Voting  Class eg: Y	Rights	Total as a % of (A+B+C)			No. (a)		No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group	9	6044759	0	0	6044759	51.44		0	6044759	51.44	0	51.44	. 0	0.00	С	0.00	6044759
(B)	Public	25354	5705241	0	0	5705241	48.56	5705241	0	5705241	48.56	0	48.56	0	0.00	C	0.00	3210435
(C)	Non Promoter -	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	C	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	С	0.00	0
(C2)	Shares held by Employees	0	0	0	0	0	0.00	0	0	J	0.00	0	0.00	0	0.00	C	0.00	0
	Total	25363	11750000	0	0	11750000	100.00	11750000	0	11750000	100.00	0	100.00	0	0.00	0	0.00	9255194

Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

Fan MAI SH/ MAI AP/ JYC Pall (b) Cer Gov		(iii)	(iv)	(v)	(vi)	(vii) =	(viii) As a % of		(i	<b>(x)</b>		(including Warrants)	securities (as a percentage of diluted share capital) (xi) = (vii)+(x)	Number of Locked in shares (xii)			(xiii)	d form (xiv)
(a) Indi Fan MAI SH/ MAI AP/ JYC Pall (b) Cer Gov						(iv)+(v)+(vi)	(A+B+C2)						as a % of (A+B+C2)		т	<u> </u>		
(a) Indi Fan MAI SH/ MAI AP/ JYC Pall (b) Cer Gov									f Voting F	_	Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)		As a % of total Shares held (b)	
(a) Indi Fan MAI SH/ MAI AP/ JYC Pall (b) Cer Gov								Class X	Class Y	Total						<u> </u>		
Fan MAI SH/ MAI AP/ JYC Pall (b) Cer Gov			0.10					0.40		0.40					<del>  </del>	<u> </u>		
SHA MAI APA JYC Pall (b) Cer Gov	lividuals/ Hindu Undivided mily	6	2489562			2489562	21.19	2489562	0	2489562	21.19	0	21.19	0	0.00	0	0.00	2489562
MAI APA JYC Pall (b) Cer Gov	ANÓJ KUMAR DAGA	1	120501	0	0	120501	1.03	120501	0	120501	1.03	0	1.03	0	0.00	0	0.00	120501
APA JYC Pall (b) Cer Gov	IANTI DEVI DAGA	1	670056	0	0	670056	5.70	670056	0	670056	5.70	0	5.70	0	0.00	0	0.00	670056
JYC Pall (b) Cer Gov	ANOJ KUMAR DAGA(HUF)	1	45946	0	0	10010	0.39	45946	0	45946	0.39	0	0.39	0	0.00			45946
Pall (b) Cer Gov	ARNA DAGA	1	587343	0	0	001010	5.00	587343	0	587343	5.00	0	5.00	0	0.00		0.00	587343
(b) Cer Gov	OTI DEVI DAGA	1	577300	0	0	000	4.91	577300	0	577300	4.91	0	4.91	0	0.00			577300
Ğον	llavi Daga	1	488416	0	0	488416	4.16	488416	0	488416	4.16	0	4.16	0	0.00	0	0.00	488416
	ntral Government/ State																	1
	overnment(s) nancial Institutions/ Banks														+			<del>                                     </del>
	y Other (Specify)														+	<del></del>		
.,	dies Corporate	3	3555197	0	0	3555197	30.26	3555197	0	3555197	30.26	0	30.26	0	0.00	0	0.00	3555197
MA	ANGALAM PRODUCTS	1	1205950	0		1205950	10.26	1205950	0	1205950	10.26	0	10.26	0	0.00			
TOI LTE	DNGANI TEA COMPANY D.	1	2260650	0	0	2260650	19.24	2260650	0	2260650	19.24	0	19.24	0	0.00	0	0.00	2260650
Anjı	jum Investments Pvt. Ltd.	1	88597	0	0	88597	0.75	88597	0	88597	0.75	0	0.75	0	0.00	0	0.00	88597
Sub	b Total(A)(1)	9	6044759	0	0	6044759	51.44	6044759	0	6044759	51.44	0	51.44	0	0.00	0	0.00	6044759
	reign																	
Indi	lividuals (Non-Resident lividuals/ Foreign lividuals)																	
	vernment																	
	titutions															Щ		
	reign Portfolio Investor															<u> </u>	igsquare	
	y Others(Specify)														—	lacksquare		
Sub	b Total(A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Pro	tal Shareholding of	_					54.44	0044750				_		_				6044759
Not	omoter and Promoter oup (A)= (A)(1)+(A)(2)	 9	6044759	0	0	6044759	51.44	6044759	0	6044759	51.44		51.44	0	0.00	0	0.00	0044733
(1) (2)	oup (A)= (A)(1)+(A)(2) tte:	9			0	6044759	51.44	6044759	0	6044759	51.44	0	51.44	0	0.00	0	0.00	0044739

Table III- Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareho Ider	No. of fully paid up equity shares held	Partly paid- up equity shares held	No. of shares underlying Depository Receipts		Shareholdin g % calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	each class of securities		No.of Shares Underlying Outstanding convertible securities (including Warrants)	a % assuming full conversion of convertible securities (as a percentage of diluted share capital)  (xi) = (vii)+(x) As a % of	ceholding as share conversion convertible urities (as a centage of uted share capital)  = (vii)+(x) (iii) conversion convertible (iii) convertible (iiii) convertible (iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii		in pledged or otherwise encumbered		Number of equity shares held in demateri alised form		
									No. of Voting Rights  Total as a % of Total Voting Rights			(A+B+C2)		of total	No.(Not applicable) (a)	As a % of total Shares held (Not applicable)( b)			
									Class X	Class Y	Total								
	Institutions																		
(a)	Mutual Funds/ UTI		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital																		
(-)	Investors																		
(e)	Foreign Portfolio Investors																		
	Financial Institutions/		1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	0	0.00	100
	Banks Insurance Companies																		
(h)	Provident Funds/																		
(i)	Pension Funds Any Other (Specify)								<del>                                     </del>		<del>                                     </del>	<u> </u>							<del>                                     </del>
\'/	, Gallot (Spoonly)												1						
	Sub Total(B)(1)		4	14500	0	0	14500	0.12	14500	0	14500	0.12	0	0.12	0	0.00	0	0.00	100
	Central Government/ State Government(s)/ President of India																		
	State Government																		
	Sub Total(B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

3	Non-Institutions																		
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs 2 lakhs		25213	5013235	0	0	5013235	42.67	5013235	0	5013235	42.67	0	42.67	0	0.00	0	0.00	254272
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		6	259617	0	0	259617	2.21	259617	0	259617	2.21	0	2.21	0	0.00	0	0.00	25961
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other(Specify)																		
(e-i)	NRI		23	34784	0	0	34784	0.30	34784	0	34784	0.30	0	0.30	0	0.00	0	0.00	34784
(e-ii)	Bodies Corporate		108	383105	0	0	383105	3.26	383105	0	383105	3.26	0	3.26	0	0.00	0	0.00	37320
	Trupati Balaji Constructions Pvt Ltd		1	239864	0	0	239864	2.04	239864	0	239864	2.04	0	2.04	0	0.00	0	0.00	23986
(e-iii)	Clearing Member		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	- (
	Sub Total(B)(3)		25350	5690741	0	0	5690741	48.43	5690741	0	5690741	48.43	0	48.43	0	0.00	0	0.00	321033
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		25354	5705241	0	0	5705241	48.56	5705241	0	5705241	48.56	0	48.56	0	0.00	0	0.00	321043
	Note:																		
	(1) PAN would not be dis												-	-			•		
	(2) The above formats no Non institutions holding					f the following	persons : I	nstitutions/											
	(3) W.r.t. the information	pertaining to	Depositor	y Receipt	s, the sa	me may be dis	sclosed in the	respective co	lumns to t	he exte	nt informa	tion avai	ilable and the I	balance to be disc	losed	as held	by custodian		
							1												

areholding (No. and %)		ons in Concert including	
Name of shareholder	Category	No. of shares held	Holding %
NIL			
		+	

Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of shares
NIL	

Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

	Category & Name of the shareholders	PAN	No. of sharehol der	up equity shares held	Partly paid- up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957)		n class o	of securi	hts held ities	No.of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number Shares I or other encumb	pledged rwise pered	Number of equity shares held in demateri alised form (not applicabl
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)			(ix)		(x)	(xi) = (vii)+(x) As a % of (A+B+C2)		(xii)	(х	ciii)	(xiv)
									No. o	f Voting	Rights	Total as a % of Total Voting rights			No.	of total Shares	applica ble)	of total	
									Class X	Class Y	Total								
1	Custodian/ DR Holder																		
(a)	Name of DR Holder (if available)																		
(i)																			
(ii)																			
	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
(a)	Name																	<u> </u>	
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Note :																		
	(1) PAN would not be displ											•				•			
	(2) The above format needs (3) W.r.t. the information pe																		

<sup>(3)</sup> W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

## <u>Annexure</u>

Table V - Statement showing details of significant beneficial owners (SBOs)

Sr. No.	Details of the			Details of the regist	ered owner		Details o repor	Date of creation / acquisition of significant beneficial interest#				
	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No.in case of a foreign national	Nationality	Shares %	Voting rights %	Whether by ving Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	APARNA DAGA		INDIAN	MANGALAM PRODUCTS PVT.LTD. TONGANI TEA COMPANY LTD.		INDIAN	2.51 0.47	2.51 0.47		YES	YES	21-12-2006 31-10-2009
2	JYOTI DEVI DAGA		INDIAN	MANGALAM PRODUCTS PVT.LTD. TONGANI TEA COMPANY LTD.		INDIAN	0.61 4.38	0.61 4.38	YES	YES	YES	31-07-2002 29-04-2000
3	SHANTI DEVI DAGA		INDIAN	MANGALAM PRODUCTS PVT.LTD. TONGANI TEA COMPANY LTD.		INDIAN	2.35 4.43	2.35 4.43		YES	YES	31-07-2002 29-04-2000
4	MANOJ KUMAR DAGA		INDIAN	MANGALAM PRODUCTS PVT.LTD. TONGANI TEA COMPANY LTD. MANOJ KUMAR DAGA (HUF)		INDIAN	1.55 4.60 0.39	1.55 4.60 0.39		YES	YES	31-07-2002 29-04-2000 29-04-2000
5	PALLAVI DAGA		INDIAN	MANGALAM PRODUCTS PVT.LTD. TONGANI TEA COMPANY LTD.		INDIAN	2.53 0.47	2.53 0.47		YES	YES	01-08-2011 31-10-2009

<sup>\*</sup> In case the nature of the holding / exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

<sup>#</sup> This column shall have the details as specified by the listed entity under Form No.BEN-2 as submitted to the Registrar.