Format of holding of specific securities

Annexure - I

Name of the Listed Entity: NORBEN TEA & EXPORTS LIMITED

Scrip Code : 519528

Name of the scrip: NORBENTEAEXP

Class of Security: EQUITY

Share Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 30.09.2023

Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares ?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which Depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in ?		No
5	Whether any shares held by Promoters are pledged or otherwise encumbered ?		No
6	Whether the listed entity has any significant beneficial owner	Yes	



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders		partly paid- up equity	No. of shares underlying Depository Receipts			Number of class of se		Rights held s	I in each	No.of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)		ked in	Share pledo other	ged or	Number of equity shares held in dematerialise d form
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)			(ix)		(x)	(xi) = (vii)+(x) As a % of (A+B+C2)		(xii)	D	(xiii)	(xiv)
			,					No. of	Voting	Rights	Total as a % of (A+B+C)	Ŧ		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg : X	Class eg : Y	Total								
	Promoter & Promoter Group	9	6044759	0	0	6044759	51.44			6044759	51.44	0	51.44	C	0.00	C	0.00	6044759
(B)	Public	24975	5705241	0	0	5705241	48.56	5705241	0	5705241	48.56	0	48.56	-	0.00	0	0.00	3269935
	Non Promoter Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	O	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	24984	11750000	0	0	11750000	100.00	11750000	0	11750000	100.00	0	100.00	0	0.00	0	0.00	9314694



Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Entity type i.e. Pomoter OR promoter group entity (except promoter)	PAN	Ider	No. of fully paid up equity shares held	equity shares held	underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	class of	of Voting securitie	g Rights he	ld in each	No.of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Loc	ked in	Shar pled othe	ber of res ged or rwise imbered	Number of equity shares held in demateriali sed form
			(117)	(iv)	(v)	(vi)	(vii)	(viii) = (v)+(vi)+(vii)	(ix) As a % of (A+B+C2)			(x)			(xii) = (viii)+(xi) as a % of (A+B+C2)		(xiii)		(xiv)	(xv)
										No. o	of Voting	Rights	Total as a % of Total Voting rights			No. (a)		(a)	As a % of total Shares held (b)	
1	Indian									Class X	Class Y	Total					1			
	Individuals/ Hindu Undivided															-				
5077	Family		211	6	2489562			2489562	21.19	2489562	- (2489562	21.19	0	21.19	0	0.00	0	0.00	2489562
		Promoter	ADLPD9792L	1	120501	0	0	120501	1.03	120501		120501	1.03							
		Promoter Group	ADSPD0801H	1	670056	0	0	670056	5.70			670056	5.70	0	1.03	0	0.00	0	0.00	120501
-	MANOJ KUMAR DAGA(HUF DIVISHA DAGA	Promoter Group	AACHM7084G	1	45946	0	0	45946	0.39	500000000000000000000000000000000000000		45946		0	5.70	0	0.00	0	0.00	670056
		Promoter Group		1	587343	0	0	587343	5.00			587343		0	0.39	0	0.00	0	0.00	45946
		Promoter Group		1	577300	0	0	577300	4.91	577300		577300	5.00 4.91	0	5.00	0	0.00	0	0.00	587343
	PALLAVI DAGA	Promoter Group	ASKPD3329D	1	488416	0	0	488416	4.16	P5/3/1////////2013/	- 0			0	4.91	0	0.00	0	0.00	577300
	Central Government/ State Government(s)		4						4.10	400410	-	488416	4.16	0	4.16	0	0.00	0	0.00	488416
(c)	Financial Institutions/ Banks																			
	Any Other (Specify)																			
(d-i)	Bodies Corporate			3	3555197		0	3555197	00.00											
	PVI.LTD.	Promoter Group	AABCM6942K	1	1205950	0	0	1205950	30.26 10.26		0	3555197 1205950	30.26 10.26	0	30.26 10.26	0	0.00	0	0.00	3555197 1205950
	TONGANI TEA COMPANY LTD.	Promoter Group	AAACD9238Q	1	2260650	0	0	2260650	19.24	2260650	0	2260650	19.24	0	19.24	0	0.00	- 0	7-0000	2000-00 / September 1
	Anjum Investments Pvt. Ltd.	Promoter Group	AACCA19601		00507										10.24	ĭ	0.00	٩	0.00	2260650
_	Sub Total(A)(1)	Temotor Group	WCCW 19093		88597	0	0	88597	0.75	88597	0	88597	0.75	0	0.75		0.00	0	0.00	88597
				9	6044759	0	0	6044759	51.44	6044759	0	6044759	51.44	0	51.44	- 0		_	200	
(a)	Foreign Individuals (Non-Resident Individuals/ Foreign Individuals)														51,44		0.00	0	0.00	6044759
_	Government.					-]	1
_	nstitutions				-										-				- +	
	oreign Portfolio Investor											-						_		
_	Any Others(Specify)			-	-															
1	Sub Total(A)(2)			0	0	0														
F	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			9	6044759	0	0	6044759	0.00 51.44	6044759	0	6044759	0.00 51.44	0	0.00	0	0.00	0	0.00	6044759
(ote : 1) PAN would not be display 2) The term "Encumbrance"	ed on website of	Stock Exchan	ne(s)										-	17	11/	_	+	_	
		andito O	- LOUN EAGIIGII	articol .										W/.	Z (MOLENTA)	4 14 1				

Table III- Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareh older	No. of fully paid up equity shares held	Partly paid- up equity shares held	No. of shares underlying Depositor y Receipts		Shareholdi ng % calculated as per SCRR, 1957)	Number of each class			eld in	No.of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)		nber of ked in res	Number of Shares p or otherw encumber	ledged vise	Number of equity shares held in demateri alised form		ategorizat eares (X	
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)		(ix)		(x)	(xi) = (vii)+(x) As a % of (A+B+C2)		(xii)	(х	iii)	(xiv)		eholding (ares) und	
				2.5						Voting		Total as a % of Total Voting Rights					No.(Not applicab le) (a)			Sub- categor y (I)	Sub- category (II)	Sub- categor y (III)
					-				Class X	Class	Total					1						
1	Institutions		—				-			 		-			\vdash	-						1
	Mutual Funds		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0	0	0	1 (
(b)	Venture Capital Funds													2.12	Ť	1.00		5.50	-	- 0	J	T -
(c)	Alternate Investment											1										
(d)	Funds Banks							4		-										2 L ===		
(e)	Insurance Companies		-						_	-					-							1
(f)	Provident/Pension Funds									-									-100-1			-
(g)	Asset Reconstruction Companies														H							_
(h)	Sovereign Wealth Funds									-		-			\vdash							
(i)	NBFCs registered with																					
(j)	Other Financial Institutions																					\vdash
(k)	Any Other (Specify)		-						_	-	_	_			-		_	_				┿
	Sub Total(B)(1)	_	3	14400	0		11100	0.40	44400	-								-				
_			-	14400	U	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0	0	0	₩
	Institutions (Foreign) Foreign Direct Investment																					-
	Foreign Venture Capital Investors														H							
_	Sovereign Wealth Funds			-								-			-			-				_
	Foreign Portfolio Investors Category I					3,772																
1	Foreign Portfolio		**																	100000000000000000000000000000000000000		
	Overseas Depositories (holding DRs) (balancing figure)																					
	Any Other			7						-								_				+
.0/	Sub Total(B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		NCECO			-

3	/State Government (s) Central Government /		-						10								- 2	100	t			
(a	President of India				1 1					1		+				-				⊹ —-		4
(0)	State Government / Governor									-	-				+							
(C)	Companies or Bodies Corporate where Central / State Government is a									\vdash					+				-			
_	promoter			j								1										1
4	Sub-Total (B)(3)	M. M.	1	0	0	- 0	0		0 0	0		0 0			0 0	0						
(a)	Associate companies /											0	0			0	0	0	0		0	\sqsubseteq
/b)	Subsidiaries																					-
(b)	relatives (excluding independent directors and nominee directors)																					
(c)	Key Managerial														1							
(d)	Relatives of promoters		-									1			1 1				0.000			
	(other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)																					
(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'															-						
(f)	Investor Education and Protection Fund (IEPF)																			12		
(g)	Resident Individuals		24700	4881740																		
	holding nominal share capital up to Rs.2 Lakhs.		24120	4881740	0	0	4881740	41.55	4881740	0	4881740	41.55	0	41.55	0	0.00	0	0.00	2472834			
(h)	Resident Individuals		6	227015	0	-	007045														4 1	
	holding nominal share capital in excess of Rs.2 Lakhs.			227010	Ĭ	ď	227015	1.93	227015	0	227015	1.93	0	1.93	0	0.00	0	0.00	227015			
(i)	Non Resident Indians (NRIs)		21	29234	0	0	29234	0.25	29234	0	29234	0.25	0	0.25	0	0.00	0	0.00	000			
J)	Foreign Nationals											0,20	U	5.20	Ľ	0.00	J	0.00	29234			
k)	Foreign Companies																					_
(1)	Bodies Corporate		79	376449	0	0	376449	2.00	070446													
	Trupati Balaji	AABCT0691C	1	239864	o	0	239864	3.20	376449	220	376449	3.20	0	3.20		0.00	0	0.00	366549			
n)	Any Other(Specify)						239004	2.04	239864	0	239864	2.04	0	2.04	0	0.00	0	0.00	239864			_
	Trusts		1	3	0	0	3	0.00		-												
	Resident Individual(HUF)		124	173383	0	0	173383	1.48	173383	0	470000	0.00	.0	0.00		0.00	0	0.00	3			
	Clearing Member		13	3017	0	0	3017	0.03	3017	0	173383	1.48	0	1.48	0	0.00	0	0.00	171283			
	Sub Total(B)(4)		24972	5690841	0	0	5690841	48.43			3017	0.03	0	0.03	0	0.00	0	0.00	3017			
- 10	Total Public Shareholding (B)=		24975	705241	0	0	5705241	48.43	5690841 5705241		705241	48.43 48.56	0	48.43 48.56	0	0.00	0		3269935 3269935			=
_	(B)(1)+(B)(2)+(B)(3)+(B)(4) Note:												1									

(2) The above format needs to be disclose along with the names of the shareholders holding 1% of shares or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.

[3] W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian. (4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category falling first in the order

prescribed in the above format. Shareholding under any of the categories shall be unique and will not be duplicated under multiple categories.

(5) Sub-categorization of shares under column no.(XV) will be based on shareholding (no. of shares) under the following sub-categories:

(i) Shareholders who are represented by a nominee Director on the board of the listed entity or have the right to nominee a representative (i.e. Director) on the board of the listed entity. (ii) Shareholders who have entered in to shareholder agreement with the listed entity.

(iii) Shareholders acting as persons in concert with promoters.

Name of shareholder	Category	No. of shares held	Holding %
NIL			
		-	



Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of shares
NIL	
	1



Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

2.	Category & Name of the shareholders	PAN (ii)	No. of sharehol der	No. of fully paid up equity shares held (iv)	Partly paid- up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held (vii) =	as per SCRR, 1957) (viii) As a % of	each c	lass of	ting Righ securitie	ts held in s	No.of Shares Underlying Outstanding convertible securities (including Warrants)	convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) As a %	Locke shares	d in	Number Shares or other encumb	pledged wise	Number of equity shares held in demateria lised form (not applicabl e) (xiv)
			Ŀ	16	7.		(iv)+(v)+(vi)	(A+B+C2)		F Voting	Rights	Total as a % of Total Voting rights	-	of (A+B+C2)	No.	As a % of total Shares held	applica	As a % of total Shares held (Not applica hie)	
1	Custodian/ DR Holder																		
	Name of DR Holder (if available)																		
(i)																			
(ii)					i i														
	Employee Benefit Trust Employee Welfare Trust under SEBI (share based Employee Benefits and Sweat Equity) Regulations, 2021													a .					
(a)	Name																		
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Note:						-	0.00		- u	- "	0.00		0.00	U	0.00	0	0.00	0
	(1) PAN would not be display	avod or	woheito	of Stock E	vohones	(0)									L				

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available



Annexure

Table V - Statement showing details of significant beneficial owners (SBOs)

Sr.	Details	of the SBO		Details of the regis	tered owner		Details o	f holdin	g / exercise of	-1	• CDO != 4b ·	
No.	ł						renor	ting cor	g / exercise of npany, whethe	right of th	e SBO in the	
	1						lepoi	ung coi	iipaily, wiletile	er direct of	indirect-	creation /
1												acquisition
ľ	ł			I .								of significant
				ł.								beneficial
												interest#
	Name	(1)		(11)					(111)			(IV)
	Name	PAN /	Nationality	Name	PAN /	Nationality		1	Whether by vi	tue of		(10)
		Passport No.			Passport		Shares	Voting		Exercise	Exercise of	1
		in case of a			No.in case of		%	rights		of	significant	
		foreign	1		a foreign			%	distributable	control	influence	
		national	1	-	national	1-		70	dividend or	CONLIGI	minuence	
	<u> </u>								any other			
1	DIVISHA DAGA	A II DD4444	INIDIANI						distribution			
	DIVIOLIK BAGA	AJLPD4144L	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	2.51	2.51	YES	YES	YES	21-12-2006
			_	TONGANI TEA COMPANY LTD.	AAACD9238Q		0.47	0.47				31-10-2009
2	JYOTI DEVI DAGA	ADGPD0232J	INDIAN	MANCAL AM PROPULOTO DI TI								
===	- Tan Barren	ADGFD02323	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	0.61	0.61	YES	YES	YES	31-07-2002
				TONGANI TEA COMPANY LTD.	AAACD9238Q		4.38	4.38				29-04-2000
3	SHANTI DEVI DAGA	ADSPD0801H	INDIAN	MANGALAM PRODUCTS PVT.LTD.	A A DOLLAR 4014							
		1.120.200111	III III	TONGANI TEA COMPANY LTD.	AABCM6942K	INDIAN	2.35	2.35	YES	YES	YES	31-07-2002
				TONGANT TEA COMPANY LTD.	AAACD9238Q		4.43	4.43				29-04-2000
4	MANOJ KUMAR DAGA	ADLPD9792L	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INIDIANI	4.55	4.55	1/2-2			
				TONGANI TEA COMPANY LTD.		INDIAN	1.55	1.55	YES	YES	YES	31-07-2002
				MANOJ KUMAR DAGA (HUF)	AAACD9238Q		4.60	4.60				29-04-2000
				III III TO KOWAK DAGA (NOF)	AACHM7084G		0.39	0.39				29-04-2000
5	PALLAVI DAGA	ASKPD3329D	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INIDIAN	0.50	0.55				
		1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		TONGANI TEA COMPANY LTD.		INDIAN	2.53	2.53	YES	YES	YES	01-08-2011
-				TOTOMINITEA COMPANY LID.	AAACD9238Q		0.47	0.47		*		31-10-2009

^{*} In case the nature of the holding / exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.



[#] This column shall have the details as specified by the listed entity under Form No.BEN-2 as submitted to the Registrar.

Table VI - Statement showing foreign ownership limits

	Board approved limits	Limits utilized
As on shareholding date		
As on the end of previous 1st quarter		
As on the end of previous 2nd quarter	(4) (1) (1)	
As on the end of previous 3rd quarter		4
As on the end of previous 4th quarter		

