

**Format of holding of specific securities**

**Annexure - I**

**Name of the Listed Entity : NORBEN TEA & EXPORTS LIMITED**

**CIN : L01132WB1990PLC048991**

**Class of Security : EQUITY**

**Share Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 31.12.2019**

**Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-**

	<b>Particulars</b>	<b>Yes*</b>	<b>No*</b>
<b>1</b>	<b>Whether the Listed Entity has issued any partly paid up shares ?</b>		<b>No</b>
<b>2</b>	<b>Whether the Listed Entity has issued any Convertible Securities or Warrants ?</b>		<b>No</b>
<b>3</b>	<b>Whether the Listed Entity has any shares against which Depository receipts are issued ?</b>		<b>No</b>
<b>4</b>	<b>Whether the Listed Entity has any shares in locked-in ?</b>		<b>No</b>
<b>5</b>	<b>Whether any shares held by Promoters are pledged or otherwise encumbered ?</b>		<b>No</b>
<b>6</b>	<b>Whether the listed entity has any significant beneficial owner</b>	<b>Yes</b>	

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form			
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)	(xiv)		
								No. of Voting Rights					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class eg : X	Class eg : Y	Total	Total as a % of (A+B+C)							
(A)	Promoter & Promoter Group	9	6044759	0	0	6044759	51.44	6044759	0	6044759	51.44	0	51.44	0	0.00	0	0.00	6044759
(B)	Public	25551	5705241	0	0	5705241	48.56	5705241	0	5705241	48.56	0	48.56	0	0.00	0	0.00	3189935
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Total</b>	<b>25560</b>	<b>11750000</b>	<b>0</b>	<b>0</b>	<b>11750000</b>	<b>100.00</b>	<b>11750000</b>	<b>0</b>	<b>11750000</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>9234694</b>



Table III- Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									(i)	(ii)	(iii)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)		(xiv)
							(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)										
									No. of Voting Rights			Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total Shares held (Not applicable) (b)			
								Class X	Class Y	Total									
<b>1</b>	<b>Institutions</b>																		
(a)	Mutual Funds/ UTI		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions/ Banks		1	300	0	0	300	0.00	300	0	300	0.00	0	0.00	0	0.00	0	0.00	300
(g)	Insurance Companies																		
(h)	Provident Funds/ Pension Funds																		
(i)	Any Other (Specify)																		
	<b>Sub Total(B)(1)</b>		<b>4</b>	<b>14700</b>	<b>0</b>	<b>0</b>	<b>14700</b>	<b>0.13</b>	<b>14700</b>	<b>0</b>	<b>14700</b>	<b>0.13</b>	<b>0</b>	<b>0.13</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>300</b>
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>																		
	State Government																		
	<b>Sub Total(B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>

<b>3</b>	<b>Non-Institutions</b>																		
(a)	Individuals -		25410	4992016	0	0	4992016	42.49	4992016	0	4992016	42.49	0	42.49	0	0.00	0	0.00	2501010
	i. Individual shareholders holding nominal share capital up to Rs 2 lakhs																		
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	248788	0	0	248788	2.12	248788	0	248788	2.12	0	2.12	0	0.00	0	0.00	248788
	SANTOSH KUMAR DALMIA & SONS HUF	AAFHS5294B	1	128467	0	0	128467	1.09	128467	0	128467	1.09	0	1.09	0	0.00	0	0.00	128467
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other(Specify)																		
(e-i)	NRI		28	39219	0	0	39219	0.33	39219	0	39219	0.33	0	0.33	0	0.00	0	0.00	39219
(e-ii)	Bodies Corporate		104	410518	0	0	410518	3.49	410518	0	410518	3.49	0	3.49	0	0.00	0	0.00	400618
	Trupati Balaji Constructions Pvt Ltd	AABCT0691C	1	239864	0	0	239864	2.04	239864	0	239864	2.04	0	2.04	0	0.00	0	0.00	239864
(e-iii)	Clearing Member		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub Total(B)(3)</b>		<b>25547</b>	<b>5690541</b>	<b>0</b>	<b>0</b>	<b>5690541</b>	<b>48.43</b>	<b>5690541</b>	<b>0</b>	<b>5690541</b>	<b>48.43</b>	<b>0</b>	<b>48.43</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>3189635</b>
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b>		<b>25551</b>	<b>5705241</b>	<b>0</b>	<b>0</b>	<b>5705241</b>	<b>48.56</b>	<b>5705241</b>	<b>0</b>	<b>5705241</b>	<b>48.56</b>	<b>0</b>	<b>48.56</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>3189935</b>
	<b>Note:</b>																		
	<b>(1) PAN would not be displayed on website of Stock Exchange(s)</b>																		
	<b>(2) The above formats need to be disclosed along with the name of the following persons : Institutions/ Non institutions holding more than 1% of total number of shares</b>																		
	<b>(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian</b>																		



**Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.**

<b>No. of shareholders</b>	<b>No. of shares</b>
NIL	

Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

	Category & Name of the shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid- up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (not applicable)
									(i)	(ii)	(iii)			(xii)	(xiii)	(xiv)		
							(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)					
									No. of Voting Rights			Total as a % of Total Voting rights	No.	As a % of total Shares held	No.(not applicable)	As a % of total Shares held (Not applicable)		
								Class X	Class Y	Total								
<b>1</b>	<b>Custodian/ DR Holder</b>																	
(a)	Name of DR Holder (if available)																	
(i)																		
(ii)																		
<b>2</b>	<b>Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)</b>																	
(a)	Name																	
	<b>Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)</b>			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	<b>Note :</b>																	
	<b>(1) PAN would not be displayed on website of Stock Exchange(s)</b>																	
	<b>(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares</b>																	
	<b>(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available</b>																	



**Annexure**

**Table V - Statement showing details of significant beneficial owners (SBOs)**

Sr. No.	Details of the SBO			Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest#	
	(I)			(II)			(III)						(IV)
	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No.in case of a foreign national	Nationality	Whether by virtue of						
Shares %							Voting rights %	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence			
1	APARNA DAGA	AJLPD4144L	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	2.51	2.51	YES	YES	YES	12/21/2006	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		0.47	0.47				10/31/2009	
2	JYOTI DEVI DAGA	ADGPD0232J	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	0.61	0.61	YES	YES	YES	7/31/2002	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		4.38	4.38				4/29/2000	
3	SHANTI DEVI DAGA	ADSPD0801H	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	2.35	2.35	YES	YES	YES	7/31/2002	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		4.43	4.43				4/29/2000	
4	MANOJ KUMAR DAGA	ADLPD9792L	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	1.17	1.17	YES	YES	YES	7/31/2002	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		4.60	4.60				4/29/2000	
				MANOJ KUMAR DAGA (HUF)	AACHM7084G		0.39	0.39				4/29/2000	
5	PALLAVI DAGA	ASKPD3329D	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	2.15	2.15	YES	YES	YES	8/1/2011	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		0.47	0.47				10/31/2009	
6	SWATI AGARWAL	AFEPA0777P	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	0.77	0.77	YES	YES	YES	12/21/2006	

\* In case the nature of the holding / exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

# This column shall have the details as specified by the listed entity under Form No.BEN-2 as submitted to the Registrar.