	Format of holding of specific securities	P	Annexure -
Name	of the Listed Entity : NORBEN TEA & EXPORTS LIMITED		
CIN :	L01132WB1990PLC048991		
Share	Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 31.12.2017		
Decla	ration : The Listed entity is required to submit the following declaration to the extent of submiss		
	Particulars	sion of inform Yes*	No*
1	Particulars Whether the Listed Entity has issued any partly paid up shares ?		
	Particulars		No*
1	Particulars Whether the Listed Entity has issued any partly paid up shares ?		No* No
1	Particulars Whether the Listed Entity has issued any partly paid up shares ? Whether the Listed Entity has issued any Convertible Securities or Warrants ?		No* No No

## Table I - Summary Statement holding of specified securities

Category (i)	Category of shareholder (ii)	Nos. of shareholders (iii)		shareholders	shareholders	shareholders	shares held	No. of partly paid- up equity shares held (v)		shares held	as a % of total no. of shares ( calculated as per SCRR, 1957) (viii) As a % of	Number of class of se	ecurities	Rights held	in each	No.of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) As a % of (A+B+C2)	Loc	ked in		es jed or	Number of equity shares held in dematerialise d form (xiv)
									Voting Class eg : Y		Total as a % of (A+B+C)	-		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)					
(A)	Promoter & Promoter Group	9	5704415	0	0	5704415	48.55	<b>*</b> 5704415	-	5704415	48.55	C	48.55	; (	0.00	C	0.00	5704415				
(B)	Public	25502	6045585	0	0	6045585	51.45	6045585	0	6045585	51.45	0	51.45	i (	0.00	C	0.00	3426579				
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	C	0.00	) (	0.00	C	0.00	0				
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	C	0.00	) (	0.00	C	0.00	0				
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	C	0.00	) (	0.00	C	0.00	0				
	Total	25511	11750000	0	0	11750000	100.00	11750000	0	11750000	100.00	0	100.00	) (	0.00	C	0.00	9130994				

## Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (i)	PAN (ii)	No. of shareho Ider (iii)	No. of fully paid up equity shares held (iv)	Partly paid- up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix) No. of Voting Rights Total as a % of				No.of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) as a % of (A+B+C2)	as a % Locked i assuming full shares conversion of convertible securities (as a percentage of diluted share capital) xi) = (vii)+(x) (xii A+B+C2) No. As (a) of		in Shares pledged or otherwise encumbered i) (xiii) s a % No. As a % total (a) of total		Number of equity shares held in dematerialise d form (xiv)
												Total Voting rights				Shares held (b)		Shares held (b)	
									Class X	Class Y	Total								
1	Indian																		
(a)	Individuals/ Hindu Undivided Family		6	2149218			2149218	18.29	2149218	0	2149218	18.29	0	18.29	C	0.00	0	0.00	2149218
	MANOJ KUMAR DAGA	ADLPD9792L	1	120501	0	0	120501	1.03	120501	0		1.03	0	1.03	0	0.00	0	0.00	
	SHANTI DEVI DAGA	ADSPD0801H	1	499712	0	0	499712	4.25	499712	0			0	4.25	C	0.00	0		499712
	MANOJ KUMAR DAGA(HUF	1	1	45946		0	45946	0.39	45946	0			0	0.39	C	0.00	0		
	APARNA DAGA	AJLPD4144L	1	587343	0			5.00	587343	0			0	0100	C	0.00	0		
	JYOTI DEVI DAGA	ADGPD0232J	1	437300	0	0	437300	3.72	437300	0	437300		0	3.72		0.00	0		
	Pallavi Daga	ASKPD3329D	1	458416	0	0	458416	3.90	458416	0	458416	3.90	0	3.90	C	0.00	0	0.00	458416
(b)	Central Government/ State Government(s)																		
( )	Financial Institutions/ Banks																		
	Any Other (Specify)																		
(d-i)	Bodies Corporate		3	3555197	0	0	3555197	30.26	3555197	0	3555197	30.26	0	30.26	_	0.00	0	0.00	
	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	1	1205950	0	0	1205950	10.26	1205950	0	1205950	10.26	0	10.26		0.00	0	0.00	
	TONGANI TEA COMPANY LTD.	AAACD9238Q	1	2260650	0	0	2260650	19.24	2260650	0	2260650	19.24	0	19.24	. (	0.00	0	0.00	2260650
	Anjum Investments Pvt. Ltd.	AACCA1869J	1	88597	0	0	88597	0.75	88597	0	88597	0.75	0	0.75	0	0.00	0	0.00	88597
	Sub Total(A)(1)		9	5704415	0	0	5704415	48.55	5704415	0	5704415	48.55	0	48.55	0	0.00	0	0.00	5704415
2	Foreign																		
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																		
(b)	Government											1			1	1			
(c)	Institutions											1			t		1		
(d)	Foreign Portfolio Investor														1				
(e)	Any Others(Specify)																		
	Sub Total(A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	C	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		9	5704415	0	0	5704415	48.55	5704415	0	5704415	48.55	0	48.55	C	0.00	0	0.00	5704415
	Note :																		
	(1) PAN would not be displa																		
	(2) The term "Encumbrance	" has the same	meaning	as assigne	ed under r	egulation 28(3	b) of SEBI (Sub )	ostancial Acquisi	tion of Sh	ares and	lakeovers	) Regulati	ons, 2011						•

## Table III- Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareho Ider	No. of fully paid up equity shares held	Partly paid- up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholdin g % calculated as per SCRR, 1957)	Number o	s of sec	curities	held in	No.of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)		res	Number of 3 pledged or encumbered	otherwise d	Number of equity shares held in demateri alised form
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)		(	ix)			(xi) = (vii)+(x) As a % of (A+B+C2)		(xii)	(3	xiii)	(xiv)
									No. of	Voting	Rights	Total as a % of Total Voting Rights			No. (a)	of total	applicable) (a)	As a % of total Shares held (Not applicable)( b)	
									Class X	Class Y	Total								
1	Institutions																		
(a)	Mutual Funds/ UTI		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	C	0.00	(	0.00	0
(b)	Venture Capital Funds			-															
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions/ Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds/																		
(i)	Pension Funds Any Other (Specify)		_												<u> </u>				
(1)	Any Other (Specily)														-				
	Sub Total(B)(1)		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00		0.00	0
2	Central Government/ State Government(s)/ President of India																		
	State Government		+									1			-				
	Sub Total(B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	(	0.00	0
						-		,	-				-		1				

3	Non-Institutions																		
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs 2 lakhs		25339	5091116	0	0	5091116	43.33	5091116	0	5091116	43.33	0	43.33	0	0.00	0	0.00	2496410
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	248788	0	0	248788	2.12	248788	0	248788	2.12	0	2.12	0	0.00	0	0.00	248788
	SANTOSH KUMAR DALMIA & SONS HUF	AAFHS5294B	1	128467	0	0	128467	1.09	128467	0	128467	1.09	0	1.09	0	0.00	0	0.00	128467
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other(Specify)																		
(e-i)	NRI		24	38589	0	0	38589	0.33	38589	0	38589	0.33	0	0.33	0	0.00	0	0.00	38589
(e-ii)	Bodies Corporate		131	652692	0	0	652692	5.55	652692	0	652692	5.55	0	5.55	0	0.00	0	0.00	642792
	Trupati Balaji Constructions Pvt Ltd	AABCT0691C	1	239864	0	0	239864	2.04	239864	0	239864	2.04	0	2.04	0	0.00	0	0.00	239864
	CREDWYN HOLDINGS (INDIA) PVT LTD	AABCC0552H	1	170000	0	0	170000	1.45	170000	0	170000	1.45	0	1.45	0	0.00	0	0.00	170000
	M P Vora Shares and Sec P Ltd.	AABCM3784B	1	130281	0	0	130281	1.11	130281	0	130281	1.11	0	1.11	0	0.00	0	0.00	130281
(e-iii)	Clearing Member		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total(B)(3)		25499	6031185	0	0	6031185	51.33	6031185	0	6031185	51.33	0	51.33	0	0.00	0	0.00	3426579
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		25502	6045585	0	0	6045585	51.45	6045585	0	6045585	51.45	0	51.45	0	0.00	0	0.00	3426579
	Note:																		
	(1) PAN would not be di	splayed on web	site of S	tock Exch	ange(s)			•				•	•				•		
	(2) The above formats n Non institutions holding	eed to be disclo	osed alor	g with the	e name of	the following	persons : I	nstitutions/											
	(3) W.r.t. the information	n pertaining to I	Deposito	y Receipt	s, the sar	ne may be dis	closed in the	respective co	lumns to t	he exte	nt informa	ation ava	ilable and the l	palance to be discl	osec	as held	by custodian		

Details of the shareholders acting as Persons in Concert including their Shareholding (No. and %)

Name of shareholder	Category	No. of shares held	Holding %
NIL			
	_		

Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of shares
NIL	

## Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

	Category & Name of the shareholders	PAN	No. of sharehol der	No. of fully paid up equity shares held	Partly paid- up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957)			ing Righ of securi		No.of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)		d in	Number Shares or other encumb	pledged wise	Number of equity shares held in demateri alised form (not applicabl
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)			(ix)		(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	1	(xii)		ciii)	(xiv)
									Class	Class	Rights	Total as a % of Total Voting rights			No.	As a % of total Shares held	applica		
1	Custodian/ DR Holder								х	Y									
(a) (i)	Name of DR Holder (if available)																		
	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) Name																		
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00	0	0.00	0
	Note : (1) PAN would not be displ (2) The above format needs (3) W.r.t. the information po available	s to dis	close nam	e of all ho	Iders ho	Iding more t				olumns	s to the	extent inf	formation						