

Format of holding of specific securities

Annexure - I

Name of the Listed Entity : NORBEN TEA & EXPORTS LIMITED

CIN : L01132WB1990PLC048991

Share Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 31.03.2018

Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares ?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants ?		No
3	Whether the Listed Entity has any shares against which Depository receipts are issued ?		No
4	Whether the Listed Entity has any shares in locked-in ?		No
5	Whether any shares held by Promoters are pledged or otherwise encumbered ?		No

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								(i)	(ii)	(iii)			(xii)	(xiii)	(xiv)			
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)			
								No. of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class eg : X	Class eg : Y		Total							
(A)	Promoter & Promoter Group	9	5928923	0	0	5928923	50.46	5928923	0	5928923	50.46	0	50.46	0	0.00	0	0.00	5928923
(B)	Public	25433	5821077	0	0	5821077	49.54	5821077	0	5821077	49.54	0	49.54	0	0.00	0	0.00	3209371
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	25442	11750000	0	0	11750000	100.00	11750000	0	11750000	100.00	0	100.00	0	0.00	0	0.00	9138294

Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid- up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form			
									(ix)					(x)	(xi) = (vii)+(x) as a % of (A+B+C2)	(xii)			(xiii)		(xiv)
									No. of Voting Rights	Total as a % of Total Voting rights						No. (a)	As a % of total Shares held (b)		No. (a)	As a % of total Shares held (b)	
									Class X	Class Y	Total										
1	Indian																				
(a)	Individuals/ Hindu Undivided Family		6	2373726			2373726	20.20	2373726	0	2373726	20.20	0	20.20	0	0.00	0	0.00	2373726		
	MANOJ KUMAR DAGA	ADLPD9792L	1	120501	0	0	120501	1.03	120501	0	120501	1.03	0	1.03	0	0.00	0	0.00	120501		
	SHANTI DEVI DAGA	ADSPD0801H	1	554220	0	0	554220	4.72	554220	0	554220	4.72	0	4.72	0	0.00	0	0.00	554220		
	MANOJ KUMAR DAGA(HUF)	AACHM7084G	1	45946	0	0	45946	0.39	45946	0	45946	0.39	0	0.39	0	0.00	0	0.00	45946		
	APARNA DAGA	AJLPD4144L	1	587343	0	0	587343	5.00	587343	0	587343	5.00	0	5.00	0	0.00	0	0.00	587343		
	JYOTI DEVI DAGA	ADGPD0232J	1	577300	0	0	577300	4.91	577300	0	577300	4.91	0	4.91	0	0.00	0	0.00	577300		
	Pallavi Daga	ASKPD3329D	1	488416	0	0	488416	4.16	488416	0	488416	3.90	0	4.16	0	0.00	0	0.00	488416		
(b)	Central Government/ State Government(s)																				
(c)	Financial Institutions/ Banks																				
(d)	Any Other (Specify)																				
(d-i)	Bodies Corporate		3	3555197	0	0	3555197	30.26	3555197	0	3555197	30.26	0	30.26	0	0.00	0	0.00	3555197		
	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	1	1205950	0	0	1205950	10.26	1205950	0	1205950	10.26	0	10.26	0	0.00	0	0.00	1205950		
	TONGANI TEA COMPANY LTD.	AAACD9238Q	1	2260650	0	0	2260650	19.24	2260650	0	2260650	19.24	0	19.24	0	0.00	0	0.00	2260650		
	Anjum Investments Pvt. Ltd.	AACCA1869J	1	88597	0	0	88597	0.75	88597	0	88597	0.75	0	0.75	0	0.00	0	0.00	88597		
	Sub Total(A)(1)		9	5928923	0	0	5928923	50.46	5928923	0	5928923	50.46	0	50.46	0	0.00	0	0.00	5928923		
2	Foreign																				
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																				
(b)	Government																				
(c)	Institutions																				
(d)	Foreign Portfolio Investor																				
(e)	Any Others(Specify)																				
	Sub Total(A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		9	5928923	0	0	5928923	50.46	5928923	0	5928923	50.46	0	50.46	0	0.00	0	0.00	5928923		
Note :																					
(1) PAN would not be displayed on website of Stock Exchange(s)																					
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011																					

Table III- Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									(i)	(ii)	(iii)			(xii)	(xiii)	(xiv)			
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
									No. of Voting Rights			Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No.(Not applicable) (a)	As a % of total Shares held (Not applicable)(b)			
								Class X	Class Y	Total									
1	Institutions																		
(a)	Mutual Funds/ UTI		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions/ Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds/ Pension Funds																		
(i)	Any Other (Specify)																		
	Sub Total(B)(1)		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0
2	Central Government/ State Government(s)/ President of India																		
	State Government																		
	Sub Total(B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

3	Non-Institutions																		
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs 2 lakhs		25279	5055461	0	0	5055461	43.03	5055461	0	5055461	43.03	0	43.03	0	0.00	0	0.00	2468055
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	248788	0	0	248788	2.12	248788	0	248788	2.12	0	2.12	0	0.00	0	0.00	248788
	SANTOSH KUMAR DALMIA & SONS HUF	AAFHS5294B	1	128467	0	0	128467	1.09	128467	0	128467	1.09	0	1.09	0	0.00	0	0.00	128467
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other(Specify)																		
(e-i)	NRI		25	38989	0	0	38989	0.33	38989	0	38989	0.33	0	0.33	0	0.00	0	0.00	38989
(e-ii)	Bodies Corporate		121	463439	0	0	463439	3.94	463439	0	463439	3.94	0	3.94	0	0.00	0	0.00	453539
	Trupati Balaji Constructions Pvt Ltd	AABCT0691C	1	239864	0	0	239864	2.04	239864	0	239864	2.04	0	2.04	0	0.00	0	0.00	239864
(e-iii)	Clearing Member		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total(B)(3)		25430	5806677	0	0	5806677	49.42	5806677	0	5806677	49.42	0	49.42	0	0.00	0	0.00	3209371
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		25433	5821077	0	0	5821077	49.54	5821077	0	5821077	49.54	0	49.54	0	0.00	0	0.00	3209371
	Note:																		
	(1) PAN would not be displayed on website of Stock Exchange(s)																		
	(2) The above formats need to be disclosed along with the name of the following persons : Institutions/ Non institutions holding more than 1% of total number of shares																		
	(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian																		

Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of shares
NIL	

Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

	Category & Name of the shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid- up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No.of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (not applicable)
									(i)	(ii)	(iii)			(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	
									No. of Voting Rights					No.	As a % of total Shares held	No.(not applicable)	As a % of total Shares held (Not applicable)	
									Class X	Class Y	Total							
1	Custodian/ DR Holder																	
(a)	Name of DR Holder (if available)																	
(i)																		
(ii)																		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(a)	Name																	
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	Note :																	
	(1) PAN would not be displayed on website of Stock Exchange(s)																	
	(2) The above format needs to disclose name of all holders holding more than 1% of total number of																	
	(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available																	