

Name of the Listed Entity : NORBEN TEA & EXPORTS LIMITED**CIN : L01132WB1990PLC048991****Share Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 30.09.2016**

Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares ?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants ?		No
3	Whether the Listed Entity has any shares against which Depository receipts are issued ?		No
4	Whether the Listed Entity has any shares in locked-in ?		No
5	Whether any shares held by Promoters are pledged or otherwise encumbered ?		No

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								(i)	(ii)	(iii)			(xii)	(xiii)				
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)	(xiv)		
								No. of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class eg : X	Class eg : Y		Total							
(A)	Promoter & Promoter Group	9	5646628	0	0	5646628	48.06	5646628	0	5646628	48.06	0	48.06	0	0.00	0	0.00	5646628
(B)	Public	25797	6103372	0	0	6103372	51.94	6103372	0	6103372	51.94	0	51.94	0	0.00	0	0.00	3456466
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	25806	11750000	0	0	11750000	100.00	11750000	0	11750000	100.00	0	100.00	0	0.00	0	0.00	9103094

Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	No. of share holder	No. of fully paid up equity shares held	Partly paid- up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)				(ix)	(x)	(xi) = (vii)+(x) as a % of (A+B+C2)			(xii)
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) as a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
									No. of Voting Rights					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
									Class X	Class Y	Total								
1	Indian																		
(a)	Individuals/ Hindu Undivided Family		6	2091431			2091431	17.80	2091431	0	2091431	17.80	0	17.80	0	0.00	0	0.00	2091431
	MANOJ KUMAR DAGA	ADLPD9792L	1	120501	0	0	120501	1.03	120501	0	120501	1.03	0	1.03	0	0.00	0	0.00	120501
	SHANTI DEVI DAGA	ADSPD0801H	1	441925	0	0	441925	3.76	441925	0	441925	3.76	0	3.76	0	0.00	0	0.00	441925
	MANOJ KUMAR DAGA	AACHM7084G	1	45946	0	0	45946	0.39	45946	0	45946	0.39	0	0.39	0	0.00	0	0.00	45946
	APARNA DAGA	AJLPD4144L	1	587343	0	0	587343	5.00	587343	0	587343	5.00	0	5.00	0	0.00	0	0.00	587343
	JYOTI DEVI DAGA	ADGPD0232J	1	437300	0	0	437300	3.72	437300	0	437300	3.72	0	3.72	0	0.00	0	0.00	437300
	Pallavi Daga	ASKPD3329D	1	458416	0	0	458416	3.90	458416	0	458416	3.90	0	3.90	0	0.00	0	0.00	458416
(b)	Central Government/ State Government(s)																		
(c)	Financial Institutions/ Banks																		
(d)	Any Other (Specify)																		
(d-i)	Bodies Corporate		3	3555197	0	0	3555197	30.26	3555197	0	3555197	30.26	0	30.26	0	0.00	0	0.00	3555197
	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	1	1205950	0	0	1205950	10.26	1205950	0	1205950	10.26	0	10.26	0	0.00	0	0.00	1205950
	TONGANI TEA COMPANY LTD.	AAACD9238Q	1	2260650	0	0	2260650	19.24	2260650	0	2260650	19.24	0	19.24	0	0.00	0	0.00	2260650
	Anjum Investments Pvt. Ltd.	AACCA1869J	1	88597	0	0	88597	0.75	88597	0	88597	0.75	0	0.75	0	0.00	0	0.00	88597
	Sub Total(A)(1)		9	5646628	0	0	5646628	48.06	5646628	0	5646628	48.06	0	48.06	0	0.00	0	0.00	5646628
2	Foreign																		
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																		
(b)	Government																		
(c)	Institutions																		
(d)	Foreign Portfolio Investor																		
(e)	Any Others(Specify)																		
	Sub Total(A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		9	5646628	0	0	5646628	48.06	5646628	0	5646628	48.06	0	48.06	0	0.00	0	0.00	5646628
	Note :																		
	(1) PAN would not be displayed on website of Stock Exchange(s)																		
	(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011																		

Table III- Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid- up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									(i)	(ii)	(iii)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)		(xiv)
							(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)										
									No. of Voting Rights					No. (a)	As a % of total Shares held (b)	No.(Not applicable) (a)	As a % of total Shares held (Not applicable)(b)		
									Class X	Class Y	Total								
1	Institutions																		
(a)	Mutual Funds/ UTI		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions/ Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds/ Pension Funds																		
(i)	Any Other (Specify)																		
	Sub Total(B)(1)		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0
2	Central Government/ State Government(s)/ President of India																		
	State Government																		
	Sub Total(B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

3	Non-Institutions																		
(a)	Individuals -		25641	5163279	0	0	5163279	43.94	5163279	0	5163279	43.94	0	43.94	0	0.00	0	0.00	2540473
	i. Individual shareholders holding nominal share capital up to Rs 2 lakhs																		
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	248788	0	0	248788	2.12	248788	0	248788	2.12	0	2.12	0	0.00	0	0.00	248788
	SANTOSH KUMAR DALMIA & SONS HUF	AAFHS5294B	1	128467	0	0	128467	1.09	128467	0	128467	1.09	0	1.09	0	0.00	0	0.00	128467
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other(Specify)																		
(e-i)	NRI		23	38464	0	0	38464	0.33	38464	0	38464	0.33	0	0.33	0	0.00	0	0.00	38464
(e-ii)	Bodies Corporate		114	632548	0	0	632548	5.38	632548	0	632548	5.38	0	5.38	0	0.00	0	0.00	622848
	Trupati Balaji Constructions Pvt Ltd	AABCT0691C	1	239864	0	0	239864	2.04	239864	0	239864	2.04	0	2.04	0	0.00	0	0.00	239864
	CREDWYN HOLDINGS (INDIA) PVT LTD	AABCC0552H	1	170000	0	0	170000	1.45	170000	0	170000	1.45	0	1.45	0	0.00	0	0.00	170000
(e-iii)	Clearing Member		11	5893	0	0	5893	0.05	5893	0	5893	0.05	0	0.05	0	0.00	0	0.00	5893
	Sub Total(B)(3)		25794	6088972	0	0	6088972	51.82	6088972	0	6088972	51.82	0	51.82	0	0.00	0	0.00	3456466
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		25797	6103372	0	0	6103372	51.94	6103372	0	6103372	51.94	0	51.94	0	0.00	0	0.00	3456466
	Note:																		
	(1) PAN would not be displayed on website of Stock Exchange(s)																		
	(2) The above formats need to be disclosed along with the name of the following persons : Institutions/ Non institutions holding more than 1% of total number of shares																		
	(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian																		

Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of shares
NIL	

