	Format of holding of specific securities		Annexure - I
Name	of the Listed Entity : NORBEN TEA & EXPORTS LIMITED		
CIN : I	L01132WB1990PLC048991		
Class	of Security: EQUITY		
Share	Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 30.09.2018		
Decla	ration : The Listed entity is required to submit the following declaration to the extent of submiss	sion of infor	mation :-
Decla	ration : The Listed entity is required to submit the following declaration to the extent of submiss	<u>г т</u>	
Declar	Particulars	sion of infor Yes*	mation :- No*
		<u>г т</u>	No*
1	Particulars Whether the Listed Entity has issued any partly paid up shares ?	<u>г т</u>	No* No
1	Particulars Whether the Listed Entity has issued any partly paid up shares ? Whether the Listed Entity has issued any Convertible Securities or Warrants ?	<u>г т</u>	No* No No

## Table I - Summary Statement holding of specified securities

Category (i)	Category of shareholder (ii)	Nos. of shareholders (iii)	shares held	partly paid- up equity	No. of shares underlying Depository Receipts (vi)	shares held	as a % of total no. of shares ( calculated as per SCRR, 1957) (viii) As a % of	Number of class of se	curities	Rights held	in each	No.of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) As a % of (A+B+C2)	Loci sha	ked in		es jed or	Number of equity shares held in dematerialise d form (xiv)
									Voting	-	Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								x	eg : Y									
(A)	Promoter & Promoter Group	9	6017347	0	0	6017347	51.21	6017347	0	6017347	51.21	C	51.21	C	0.00	0	0.00	6017347
(B)	Public	25462	5732653	0	0	5732653	48.79	5732653	0	5732653	48.79	C	48.79	C	0.00	(	0.00	3143947
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	C	0.00	C	0.00	(	0.00	0 0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	C	0.00	C	0.00	(	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	C	0.00	C	0.00	(	0.00	0
	Total	25471	11750000	0	0	11750000	100.00	11750000	0	11750000	100.00	0	100.00	0	0.00	0	0.00	9161294

## Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (i)	PAN (ii)	No. of shareho Ider (iii)	No. of	Partly paid- up equity shares held (v)	Nos. of shares underlying Depository Receipts	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number c class of s	ecurities	Rights hel	d in each	No.of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) as a % of (A+B+C2)		hber of ked in res (xii)	Shar pled othe encu	ged or rwise imbered (xiii)	Number of equity shares held in dematerialise d form (xiv)
									No. c	f Voting I	Rights	Total as a % of Total Voting rights			No. (a)		No. (a)	As a % of total Shares held (b)	
									Class X	Class Y	Total								
1	Indian																		
(a)	Individuals/ Hindu Undivided Family		6	2462150			2462150	20.95	2462150	0	2462150	20.95	0	20.95	C	0.00	0	0.00	2462150
	MANOJ KUMAR DAGA	ADLPD9792L	1	120501	0	0	120501	1.03	120501	0	120001	1.03	0	1.03	0	0.00	0	0.00	
	SHANTI DEVI DAGA	ADSPD0801H	1	642644	0	0	642644	5.47	642644	0			0	5.47	0	0.00	0	0.00	642644
	MANOJ KUMAR DAGA(HUF		1	45946	0	0	45946	0.39	45946	0			0	0.39	C	0.00	0		
	APARNA DAGA	AJLPD4144L	1	587343	0			5.00	587343	0	587343		0	5.00	C	0.00	0		
	JYOTI DEVI DAGA	ADGPD0232J	1	577300	0	0	577300	4.91	577300	0	577300		0	4.91	0	0.00	0		
	Pallavi Daga	ASKPD3329D	1	488416	0	0	488416	4.16	488416	0	458416	3.90	0	4.16	C	0.00	0	0.00	488416
(b)	Central Government/ State Government(s)																		
(c)	Financial Institutions/ Banks																		
(d)	Any Other (Specify)																		
(d-i)	Bodies Corporate		3	3555197	0	0	3555197	30.26	3555197	0	3555197	30.26	0	30.26	_	0.00	0	0.00	
	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	1	1205950	0	0	1205950	10.26	1205950	0	1205950	10.26	0	10.26		0.00	0	0.00	
	TONGANI TEA COMPANY LTD.	AAACD9238Q	1	2260650	0	0	2260650	19.24	2260650	0	2260650	19.24	0	19.24	. 0	0.00	0	0.00	2260650
	Anjum Investments Pvt. Ltd.	AACCA1869J	1	88597	0	0	88597	0.75	88597	0	88597	0.75	0	0.75	0	0.00	0	0.00	88597
	Sub Total(A)(1)		9	6017347	0	0	6017347	51.21	6017347	0	6017347	51.21	0	51.21	C	0.00	0	0.00	6017347
2	Foreign																		
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																		
(b)	Government											1			1				
(c)	Institutions	İ		1								1			1		1		
(d)	Foreign Portfolio Investor														1				
(e)	Any Others(Specify)																		
	Sub Total(A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	C	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		9	6017347	0	0	6017347	51.21	6017347	0	6017347	51.21	0	51.21	C	0.00	0	0.00	6017347
	Note :																		
	(1) PAN would not be displa (2) The term "Encumbrance					ogulation 20/2	a of SEBI (Sub	etancial Accuici	tion of Sh	aree and	Takeovere	) Pogulati	one 2011						
		inas une same	medning	as assigne	a under f	eyulalioli 20(3	n or o⊏di (oud	staticiai AcyulSi	1011 01 30	ares and	aneovers	regulation	0113, 2011						

## Table III- Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareho Ider	No. of fully paid up equity shares held	Partly paid- up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholdin g % calculated as per SCRR, 1957)	Number o	s of sec	curities	held in	No.of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)		res	Number of 3 pledged or encumbered	otherwise d	Number of equity shares held in demateri alised form
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)		(	ix)			(xi) = (vii)+(x) As a % of (A+B+C2)		(xii)	(3	xiii)	(xiv)
									No. of	Voting	Rights	Total as a % of Total Voting Rights	s a % otal oting		No. (a)	of total	No.(Not applicable) (a)	As a % of total Shares held (Not applicable)( b)	
									Class X	Class Y	Total								
1	Institutions																		
(a)	Mutual Funds/ UTI		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	C	0.00	(	0.00	0
(b)	Venture Capital Funds			-															
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions/ Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds/																		
(i)	Pension Funds Any Other (Specify)		_												<u> </u>				
(1)	Any Other (Specily)														-				
	Sub Total(B)(1)		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00		0.00	0
2	Central Government/ State Government(s)/ President of India																		
	State Government		+									1			-				
	Sub Total(B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	(	0.00	0
						-	-	,	-				-		1				

3	Non-Institutions																		
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs 2 lakhs		25309	4999348	0	0	4999348	42.55	4999348	0	4999348	42.55	0	42.55	0	0.00	0	0.00	2434942
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	248788	0	0	248788	2.12	248788	0	248788	2.12	0	2.12	0	0.00	0	0.00	248788
	SANTOSH KUMAR DALMIA & SONS HUF	AAFHS5294B	1	128467	0	0	128467	1.09	128467	0	128467	1.09	0	1.09	0	0.00	0	0.00	128467
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other(Specify)																		
(e-i)	NRI		26	37819	0	0	37819	0.32	37819	0	37819	0.32	0	0.32	0	0.00	0	0.00	37819
(e-ii)	Bodies Corporate		119	432298	0	0	432298	3.68	432298	0	432298	3.68	0	3.68	0	0.00	0	0.00	422398
	Trupati Balaji Constructions Pvt Ltd	AABCT0691C	1	239864	0	0	239864	2.04	239864	0	239864	2.04	0	2.04	0	0.00	0	0.00	239864
(e-iii)	Clearing Member		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total(B)(3)		25459	5718253	0	0	5718253	48.67	5718253	0	5718253	48.67	0	48.67	0	0.00	0	0.00	3143947
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		25462	5732653	0	0	5732653	48.79	5732653	0	5732653	48.79	0	48.79	0	0.00	0	0.00	3143947
	Note:																		
	(1) PAN would not be di																		
	(2) The above formats n Non institutions holding					the following	persons : I	nstitutions/											
	(3) W.r.t. the information	pertaining to	Depositor	y Receipt	s, the sar	ne may be dis	closed in the	respective co	lumns to t	he exte	nt informa	tion ava	ilable and the b	alance to be disc	losed	as held b	y custodian		

Details of the shareholders acting as Persons in Concert including their Shareholding (No. and %)

Name of shareholder	Category	No. of shares held	Holding %
NIL			
	_		

Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of shares
NIL	

## Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

	Category & Name of the shareholders	PAN	No. of sharehol der	No. of fully paid up equity shares held	Partly paid- up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957)			ing Righ of securi		No.of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Locked in shares		Number Shares or other encumb	pledged wise	Number of equity shares held in demateri alised form (not applicabl
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)			(ix)		(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	1	(xii)		ciii)	(xiv)
									Class	Class	Rights	Total as a % of Total Voting rights			No.	As a % of total Shares held	applica		
1	Custodian/ DR Holder								х	Y									
(a) (i)	Name of DR Holder (if available)																		
	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) Name																		
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		) 0.00	0	0.00	0
	Note : (1) PAN would not be displ (2) The above format needs (3) W.r.t. the information po available	s to dis	close nam	e of all ho	Iders ho	Iding more t				olumns	s to the	extent inf	formation						