

**Format of holding of specific securities**

**Annexure - I**

**Name of the Listed Entity : NORBEN TEA & EXPORTS LIMITED**

**CIN : L01132WB1990PLC048991**

**Share Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 30.09.2017**

**Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-**

	<b>Particulars</b>	<b>Yes*</b>	<b>No*</b>
<b>1</b>	<b>Whether the Listed Entity has issued any partly paid up shares ?</b>		<b>No</b>
<b>2</b>	<b>Whether the Listed Entity has issued any Convertible Securities or Warrants ?</b>		<b>No</b>
<b>3</b>	<b>Whether the Listed Entity has any shares against which Depository receipts are issued ?</b>		<b>No</b>
<b>4</b>	<b>Whether the Listed Entity has any shares in locked-in ?</b>		<b>No</b>
<b>5</b>	<b>Whether any shares held by Promoters are pledged or otherwise encumbered ?</b>		<b>No</b>

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
								No. of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class eg : X	Class eg : Y	Total								
(A)	Promoter & Promoter Group	9	5702457	0	0	5702457	48.53	5702457	0	5702457	48.53	0	48.53	0	0.00	0	0.00	5702457
(B)	Public	25540	6047543	0	0	6047543	51.47	6047543	0	6047543	51.47	0	51.47	0	0.00	0	0.00	3424837
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Total</b>	<b>25549</b>	<b>11750000</b>	<b>0</b>	<b>0</b>	<b>11750000</b>	<b>100.00</b>	<b>11750000</b>	<b>0</b>	<b>11750000</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>9127294</b>



Table III- Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									(i)	(ii)	(iii)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)		(xiv)
							(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)										
									No. of Voting Rights		Total as a % of Total Voting Rights		No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total Shares held (Not applicable) (b)			
								Class X	Class Y	Total									
<b>1</b>	<b>Institutions</b>																		
(a)	Mutual Funds/ UTI		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions/ Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds/ Pension Funds																		
(i)	Any Other (Specify)																		
	<b>Sub Total(B)(1)</b>		<b>3</b>	<b>14400</b>	<b>0</b>	<b>0</b>	<b>14400</b>	<b>0.12</b>	<b>14400</b>	<b>0</b>	<b>14400</b>	<b>0.12</b>	<b>0</b>	<b>0.12</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>																		
	State Government																		
	<b>Sub Total(B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>

<b>3</b>	<b>Non-Institutions</b>																		
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs 2 lakhs	25384	5095732	0	0	5095732	43.37	5095732	0	5095732	43.37	0	43.37	0	0.00	0	0.00	2497326	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	5	248788	0	0	248788	2.12	248788	0	248788	2.12	0	2.12	0	0.00	0	0.00	248788	
	SANTOSH KUMAR DALMIA & SONS HUF	AAFHS5294B	1	128467	0	0	128467	1.09	128467	0	128467	1.09	0	1.09	0	0.00	0	0.00	128467
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other(Specify)																		
(e-i)	NRI	23	38389	0	0	38389	0.33	38389	0	38389	0.33	0	0.33	0	0.00	0	0.00	38389	
(e-ii)	Bodies Corporate	125	650234	0	0	650234	5.53	650234	0	650234	5.53	0	5.53	0	0.00	0	0.00	640334	
	Trupati Balaji Constructions Pvt Ltd	AABCT0691C	1	239864	0	0	239864	2.04	239864	0	239864	2.04	0	2.04	0	0.00	0	0.00	239864
	CREDWYN HOLDINGS (INDIA) PVT LTD	AABCC0552H	1	170000	0	0	170000	1.45	170000	0	170000	1.45	0	1.45	0	0.00	0	0.00	170000
	M P Vora Shares and Sec P Ltd.	AABCM3784B	1	127155	0	0	127155	1.08	127155	0	127155	1.08	0	1.08	0	0.00	0	0.00	127155
(e-iii)	Clearing Member		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	<b>Sub Total(B)(3)</b>	<b>25537</b>	<b>6033143</b>	<b>0</b>	<b>0</b>	<b>6033143</b>	<b>51.35</b>	<b>6033143</b>	<b>0</b>	<b>6033143</b>	<b>51.35</b>	<b>0</b>	<b>51.35</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>3424837</b>	
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b>	<b>25540</b>	<b>6047543</b>	<b>0</b>	<b>0</b>	<b>6047543</b>	<b>51.47</b>	<b>6047543</b>	<b>0</b>	<b>6047543</b>	<b>51.47</b>	<b>0</b>	<b>51.47</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>3424837</b>	
	<b>Note:</b>																		
	<b>(1) PAN would not be displayed on website of Stock Exchange(s)</b>																		
	<b>(2) The above formats need to be disclosed along with the name of the following persons : Institutions/ Non institutions holding more than 1% of total number of shares</b>																		
	<b>(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian</b>																		



**Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.**

<b>No. of shareholders</b>	<b>No. of shares</b>
NIL	

Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

	Category & Name of the shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid- up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (not applicable)
									(i)	(ii)	(iii)			(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	
									No. of Voting Rights					No.	As a % of total Shares held	No.(not applicable)	As a % of total Shares held (Not applicable)	
									Class X	Class Y	Total							
<b>1</b>	<b>Custodian/ DR Holder</b>																	
(a)	Name of DR Holder (if available)																	
(i)																		
(ii)																		
<b>2</b>	<b>Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)</b>																	
(a)	Name																	
	<b>Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)</b>			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	<b>Note :</b>																	
	<b>(1) PAN would not be displayed on website of Stock Exchange(s)</b>																	
	<b>(2) The above format needs to disclose name of all holders holding more than 1% of total number of</b>																	
	<b>(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available</b>																	