

28th June, 2024

To,
The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
25th Floor, Dalal Street,
MUMBAI – 400 001.
Scrip Code: 519528

To,
The Secretary
National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor,
Plot No.C/1, 'G' Block,
Bandra (East) MUMBAI – 400 051.
Ref: ISIN - INE369C01017

Dear Sir,

Sub: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulations”)

Pursuant to Regulation 30 of SEBI Listing Regulations, we would like to inform that Securities and Exchange Board of India (SEBI) has, vide their order dated June 28, 2024, imposed a fine of Rs. 1,00,000 (Rupees One Lakh only) on the Company under SEBI Circular CIR/CFD/CMD1/114/2019 dated October 18, 2019 read with Regulation 4(1) (g) of SEBI (LODR) Regulations, 2015 for certain non-compliance.

The Company wish to clarify that the Company’s management had at all times to the best of their intentions and knowledge tried to comply with all the rules and regulations specified by various statutory authorities including SEBI and any lapse is only due to inadvertent error. The Company remains committed to ensure optimum compliances of all applicable laws and put in place stringent system & policy checks to avoid any such lapses in future.

The details as required under SEBI Listing Regulations read with Circular no. SEBI/HO/CFD/CFD-PoD-1/P-CIR//2023/123 dated July 13, 2023 are enclosed as **Annexure I**.

We request you to take the same on record.

Thanking you,

Yours faithfully,
For Norben Tea & Exports Limited

Niraj Tiwari
Company Secretary & Compliance Officer

Annexure – I

Name(s) of the Authority	Securities and Exchange Board of India (SEBI)
Nature and details of the action(s) taken, initiated or order(s) passed	Fine of Rs. 1,00,000 (Rupees One Lakh only)
Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	June 28, 2024
Details of the violation(s) / contravention(s) committed or alleged to be committed	SEBI Circular CIR/CFD/CMD1/114/2019 dated October 18, 2019 read with Regulation 4(1) (g) of SEBI (LODR) Regulations, 2015
Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Negligible except to the extent of penalty amount of Rs. 1,00,000 (Rupees One Lakh only) imposed by SEBI