

Format of holding of specific securities

Annexure - I

Name of the Listed Entity : NORBEN TEA & EXPORTS LIMITED

Scrip Code : 519528

Name of the scrip : NORBENTEAEXP

Class of Security : EQUITY

Share Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 30.09.2023

Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares ?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants ?		No
3	Whether the Listed Entity has any shares against which Depository receipts are issued ?		No
4	Whether the Listed Entity has any shares in locked-in ?		No
5	Whether any shares held by Promoters are pledged or otherwise encumbered ?		No
6	Whether the listed entity has any significant beneficial owner	Yes	



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								(i)	(ii)	(iii)			(xii)	(xiii)				
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)			
								No. of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class eg : X	Class eg : Y		Total							
(A)	Promoter & Promoter Group	9	6044759	0	0	6044759	51.44	6044759	0	6044759	51.44	0	51.44	0	0.00	0	0.00	6044759
(B)	Public	24975	5705241	0	0	5705241	48.56	5705241	0	5705241	48.56	0	48.56	0	0.00	0	0.00	3269935
(C)	Non Promoter Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	24984	11750000	0	0	11750000	100.00	11750000	0	11750000	100.00	0	100.00	0	0.00	0	0.00	9314694



Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Entity type i.e. Promoter OR promoter group entity (except promoter)	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form			
										(x)					(xi)	(xii) = (viii)+(xi) as a % of (A+B+C2)	(xiii)			(xiv)		(xv)
										No. of Voting Rights	Total as a % of Total Voting rights						No. (a)	As a % of total Shares held (b)		No. (a)	As a % of total Shares held (b)	
										Class X	Class Y	Total										
1	Indian																					
(a)	Individuals/ Hindu Undivided Family			6	2489562			2489562	21.19	2489562	0	2489562	21.19	0	21.19	0	0.00	0	0.00	2489562		
	MANOJ KUMAR DAGA	Promoter	ADLPD9792L	1	120501	0	0	120501	1.03	120501	0	120501	1.03	0	1.03	0	0.00	0	0.00	120501		
	SHANTI DEVI DAGA	Promoter Group	ADSPD0801H	1	670056	0	0	670056	5.70	670056	0	670056	5.70	0	5.70	0	0.00	0	0.00	670056		
	MANOJ KUMAR DAGA(HUF)	Promoter Group	AACHM7084G	1	45946	0	0	45946	0.39	45946	0	45946	0.39	0	0.39	0	0.00	0	0.00	45946		
	DIVISHA DAGA	Promoter Group	AJLPD4144L	1	587343	0	0	587343	5.00	587343	0	587343	5.00	0	5.00	0	0.00	0	0.00	587343		
	JYOTI DEVI DAGA	Promoter Group	ADGPD0232J	1	577300	0	0	577300	4.91	577300	0	577300	4.91	0	4.91	0	0.00	0	0.00	577300		
	PALLAVI DAGA	Promoter Group	ASKPD3329D	1	488416	0	0	488416	4.16	488416	0	488416	4.16	0	4.16	0	0.00	0	0.00	488416		
(b)	Central Government/ State Government(s)																					
(c)	Financial Institutions/ Banks																					
(d)	Any Other (Specify)																					
(d-i)	Bodies Corporate			3	3555197	0	0	3555197	30.26	3555197	0	3555197	30.26	0	30.26	0	0.00	0	0.00	3555197		
	MANGALAM PRODUCTS PVT.LTD.	Promoter Group	AABCM6942K	1	1205950	0	0	1205950	10.26	1205950	0	1205950	10.26	0	10.26	0	0.00	0	0.00	1205950		
	TONGANI TEA COMPANY LTD.	Promoter Group	AAACD9238Q	1	2260650	0	0	2260650	19.24	2260650	0	2260650	19.24	0	19.24	0	0.00	0	0.00	2260650		
	Anjum Investments Pvt. Ltd.	Promoter Group	AACCA1869J	1	88597	0	0	88597	0.75	88597	0	88597	0.75	0	0.75	0	0.00	0	0.00	88597		
	Sub Total(A)(1)			9	6044759	0	0	6044759	51.44	6044759	0	6044759	51.44	0	51.44	0	0.00	0	0.00	6044759		
2	Foreign																					
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																					
(b)	Government																					
(c)	Institutions																					
(d)	Foreign Portfolio Investor																					
(e)	Any Others(Specify)																					
	Sub Total(A)(2)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			9	6044759	0	0	6044759	51.44	6044759	0	6044759	51.44	0	51.44	0	0.00	0	0.00	6044759		
	Note :																					
	(1) PAN would not be displayed on website of Stock Exchange(s)																					
	(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011																					



Table III- Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	Sub-categorization of shares (XV)									
								(vii) = (iv)+(v)+(vi)	(ix)				(x)	(xi) = (vii)+(x)	(xii)			(xiii)		(xiv)	Shareholding (No. of shares) under						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	No. of Voting Rights			Total as a % of Total Voting Rights	(x)	(xi) = (vii)+(x)	As a % of (A+B+C2)	(xii)	(xiii)	(xiv)		Sub-category (I)	Sub-category (II)	Sub-category (III)					
									Class X	Class Y	Total												No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total Shares held (Not applicable) (h)	Sub-category (I)
1 Institutions																											
(a) Mutual Funds			3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0	0	0	0	0	0	0	0	0
(b) Venture Capital Funds																											
(c) Alternate Investment Funds																											
(d) Banks																											
(e) Insurance Companies																											
(f) Provident/Pension Funds																											
(g) Asset Reconstruction Companies																											
(h) Sovereign Wealth Funds																											
(i) NBFCs registered with RBI																											
(j) Other Financial Institutions																											
(k) Any Other (Specify)																											
Sub Total(B)(1)			3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0	0	0	0	0	0	0	0	0
2 Institutions (Foreign)																											
(a) Foreign Direct Investment																											
(b) Foreign Venture Capital Investors																											
(c) Sovereign Wealth Funds																											
(d) Foreign Portfolio Investors Category I																											
(e) Foreign Portfolio Investors Category II																											
(f) Overseas Depositories (holding DRs) (balancing figure)																											
(g) Any Other																											
Sub Total(B)(2)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0



Details of the shareholders acting as Persons in Concert including their Shareholding (No. and %)

Name of shareholder	Category	No. of shares held	Holding %
NIL			



Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of shares
NIL	



Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

	Category & Name of the shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (not applicable)	
									(i)	(ii)	(iii)			(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)		(viii) As a % of (A+B+C2)
									No. of Voting Rights			Total as a % of Total Voting rights		No. As a % of total Shares held		No.(not applicable) As a % of total Shares held (Not applicable)			
									Class X	Class Y	Total								
1	Custodian/ DR Holder																		
(a)	Name of DR Holder (if available)																		
(i)																			
(ii)																			
2	Employee Benefit Trust Employee Welfare Trust under SEBI (share based Employee Benefits and Sweat Equity) Regulations, 2021																		
(a)	Name																		
Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)				0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Note :																			
(1) PAN would not be displayed on website of Stock Exchange(s)																			
(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(Xiii) is not applicable in the above format.																			
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available																			



Annexure

Table V - Statement showing details of significant beneficial owners (SBOs)

Sr. No.	Details of the SBO			Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest#	
	(I)			(II)			(III)						(IV)
	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Whether by virtue of				
						Rights on distributable dividend or any other distribution			Exercise of control	Exercise of significant influence			
1	DIVISHA DAGA	AJLPD4144L	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	2.51	2.51	YES	YES	YES	21-12-2006	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		0.47	0.47				31-10-2009	
2	JYOTI DEVI DAGA	ADGPD0232J	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	0.61	0.61	YES	YES	YES	31-07-2002	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		4.38	4.38				29-04-2000	
3	SHANTI DEVI DAGA	ADSPD0801H	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	2.35	2.35	YES	YES	YES	31-07-2002	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		4.43	4.43				29-04-2000	
4	MANOJ KUMAR DAGA	ADLPD9792L	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	1.55	1.55	YES	YES	YES	31-07-2002	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		4.60	4.60				29-04-2000	
				MANOJ KUMAR DAGA (HUF)	AACHM7084G		0.39	0.39				29-04-2000	
5	PALLAVI DAGA	ASKPD3329D	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	2.53	2.53	YES	YES	YES	01-08-2011	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		0.47	0.47				31-10-2009	

* In case the nature of the holding / exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

This column shall have the details as specified by the listed entity under Form No.BEN-2 as submitted to the Registrar.



Table VI - Statement showing foreign ownership limits

	<i>Board approved limits</i>	<i>Limits utilized</i>
As on shareholding date		
As on the end of previous 1st quarter		
As on the end of previous 2nd quarter		
As on the end of previous 3rd quarter		
As on the end of previous 4th quarter		

