

**Format of holding of specific securities****Annexure - I****Name of the Listed Entity : NORBEN TEA & EXPORTS LIMITED****CIN : L01132WB1990PLC048991****Class of Security : EQUITY****Share Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 30.09.2019****Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-**

	<b>Particulars</b>	<b>Yes*</b>	<b>No*</b>
<b>1</b>	<b>Whether the Listed Entity has issued any partly paid up shares ?</b>		<b>No</b>
<b>2</b>	<b>Whether the Listed Entity has issued any Convertible Securities or Warrants ?</b>		<b>No</b>
<b>3</b>	<b>Whether the Listed Entity has any shares against which Depository receipts are issued ?</b>		<b>No</b>
<b>4</b>	<b>Whether the Listed Entity has any shares in locked-in ?</b>		<b>No</b>
<b>5</b>	<b>Whether any shares held by Promoters are pledged or otherwise encumbered ?</b>		<b>No</b>
<b>6</b>	<b>Whether the listed entity has any significant beneficial owner</b>	<b>Yes</b>	

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								(i)	(ii)	(iii)			(xii)	(xiii)	(xiv)			
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)			
								No. of Voting Rights		Total as a % of (A+B+C)		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)			
								Class eg : X	Class eg : Y	Total								
(A)	Promoter & Promoter Group	9	6044759	0	0	6044759	51.44	6044759	0	6044759	51.44	0	51.44	0	0.00	0	0.00	6044759
(B)	Public	25563	5705241	0	0	5705241	48.56	5705241	0	5705241	48.56	0	48.56	0	0.00	0	0.00	3187235
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Total</b>	<b>25572</b>	<b>11750000</b>	<b>0</b>	<b>0</b>	<b>11750000</b>	<b>100.00</b>	<b>11750000</b>	<b>0</b>	<b>11750000</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>9231994</b>

Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									(i)	(ii)	(iii)			(x)	(xi) = (vii)+(x) as a % of (A+B+C2)	(xii)	(xiii)		(xiv)
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) as a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class X	Class Y	Total								
<b>1</b>	<b>Indian</b>																		
(a)	Individuals/ Hindu Undivided Family		6	2489562			2489562	21.19	2489562	0	2489562	21.19	0	21.19	0	0.00	0	0.00	2489562
	MANOJ KUMAR DAGA		1	120501	0	0	120501	1.03	120501	0	120501	1.03	0	1.03	0	0.00	0	0.00	120501
	SHANTI DEVI DAGA		1	670056	0	0	670056	5.70	670056	0	670056	5.70	0	5.70	0	0.00	0	0.00	670056
	MANOJ KUMAR DAGA(HUF		1	45946	0	0	45946	0.39	45946	0	45946	0.39	0	0.39	0	0.00	0	0.00	45946
	APARNA DAGA		1	587343	0	0	587343	5.00	587343	0	587343	5.00	0	5.00	0	0.00	0	0.00	587343
	JYOTI DEVI DAGA		1	577300	0	0	577300	4.91	577300	0	577300	4.91	0	4.91	0	0.00	0	0.00	577300
	Pallavi Daga		1	488416	0	0	488416	4.16	488416	0	488416	4.16	0	4.16	0	0.00	0	0.00	488416
(b)	Central Government/ State Government(s)																		
(c)	Financial Institutions/ Banks																		
(d)	Any Other (Specify)																		
(d-i)	Bodies Corporate		3	3555197	0	0	3555197	30.26	3555197	0	3555197	30.26	0	30.26	0	0.00	0	0.00	3555197
	MANGALAM PRODUCTS PVT.LTD.		1	1205950	0	0	1205950	10.26	1205950	0	1205950	10.26	0	10.26	0	0.00	0	0.00	1205950
	TONGANI TEA COMPANY LTD.		1	2260650	0	0	2260650	19.24	2260650	0	2260650	19.24	0	19.24	0	0.00	0	0.00	2260650
	Anjum Investments Pvt. Ltd.		1	88597	0	0	88597	0.75	88597	0	88597	0.75	0	0.75	0	0.00	0	0.00	88597
	<b>Sub Total(A)(1)</b>		<b>9</b>	<b>6044759</b>	<b>0</b>	<b>0</b>	<b>6044759</b>	<b>51.44</b>	<b>6044759</b>	<b>0</b>	<b>6044759</b>	<b>51.44</b>	<b>0</b>	<b>51.44</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>6044759</b>
<b>2</b>	<b>Foreign</b>																		
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																		
(b)	Government																		
(c)	Institutions																		
(d)	Foreign Portfolio Investor																		
(e)	Any Others(Specify)																		
	<b>Sub Total(A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>		<b>9</b>	<b>6044759</b>	<b>0</b>	<b>0</b>	<b>6044759</b>	<b>51.44</b>	<b>6044759</b>	<b>0</b>	<b>6044759</b>	<b>51.44</b>	<b>0</b>	<b>51.44</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>6044759</b>
	<b>Note :</b>																		
	<b>(1) PAN would not be displayed on website of Stock Exchange(s)</b>																		
	<b>(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011</b>																		

Table III- Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)		
									No. of Voting Rights			Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No.(Not applicable) (a)	As a % of total Shares held (Not applicable)(b)		
								Class X	Class Y	Total								
<b>1</b>	<b>Institutions</b>																	
(a)	Mutual Funds/ UTI		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.00	0	0.00	0	0.00
(b)	Venture Capital Funds																	
(c)	Alternate Investment Funds																	
(d)	Foreign Venture Capital Investors																	
(e)	Foreign Portfolio Investors																	
(f)	Financial Institutions/ Banks																	
(g)	Insurance Companies																	
(h)	Provident Funds/ Pension Funds																	
(i)	Any Other (Specify)																	
	<b>Sub Total(B)(1)</b>		<b>3</b>	<b>14400</b>	<b>0</b>	<b>0</b>	<b>14400</b>	<b>0.12</b>	<b>14400</b>	<b>0</b>	<b>14400</b>	<b>0.12</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>																	
	State Government																	
	<b>Sub Total(B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>

<b>3</b>	<b>Non-Institutions</b>																		
(a)	Individuals -	25419	4980851	0	0	4980851	42.39	4980851	0	4980851	42.39	0	42.39	0	0.00	0	0.00	2487145	
	i. Individual shareholders holding nominal share capital up to Rs 2 lakhs																		
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	5	248788	0	0	248788	2.12	248788	0	248788	2.12	0	2.12	0	0.00	0	0.00	248788	
	SANTOSH KUMAR DALMIA & SONS HUF	1	128467	0	0	128467	1.09	128467	0	128467	1.09	0	1.09	0	0.00	0	0.00	128467	
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other(Specify)																		
(e-i)	NRI	28	39219	0	0	39219	0.33	39219	0	39219	0.33	0	0.33	0	0.00	0	0.00	39219	
(e-ii)	Bodies Corporate	108	421983	0	0	421983	3.59	421983	0	421983	3.59	0	3.59	0	0.00	0	0.00	412083	
	Trupati Balaji Constructions Pvt Ltd	1	239864	0	0	239864	2.04	239864	0	239864	2.04	0	2.04	0	0.00	0	0.00	239864	
(e-iii)	Clearing Member	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	<b>Sub Total(B)(3)</b>	<b>25560</b>	<b>5690841</b>	<b>0</b>	<b>0</b>	<b>5690841</b>	<b>48.43</b>	<b>5690841</b>	<b>0</b>	<b>5690841</b>	<b>48.43</b>	<b>0</b>	<b>48.43</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>3187235</b>	
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b>	<b>25563</b>	<b>5705241</b>	<b>0</b>	<b>0</b>	<b>5705241</b>	<b>48.56</b>	<b>5705241</b>	<b>0</b>	<b>5705241</b>	<b>48.56</b>	<b>0</b>	<b>48.56</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>3187235</b>	
	<b>Note:</b>																		
	<b>(1) PAN would not be displayed on website of Stock Exchange(s)</b>																		
	<b>(2) The above formats need to be disclosed along with the name of the following persons : Institutions/ Non institutions holding more than 1% of total number of shares</b>																		
	<b>(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian</b>																		



**Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.**

<b>No. of shareholders</b>	<b>No. of shares</b>
NIL	

Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

	Category & Name of the shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid- up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (not applicable)	
									(i)	(ii)	(iii)			(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)		(viii) As a % of (A+B+C2)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (not applicable)	As a % of total Shares held (Not applicable)			
									Class X	Class Y	Total		No.	As a % of total Shares held			As a % of total Shares held		
<b>1</b>	<b>Custodian/ DR Holder</b>																		
(a)	Name of DR Holder (if available)																		
(i)																			
(ii)																			
<b>2</b>	<b>Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)</b>																		
(a)	Name																		
	<b>Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)</b>			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
<b>Note :</b>																			
(1) PAN would not be displayed on website of Stock Exchange(s)																			
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares																			
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available																			